ADDING EXTERNAL INVESTIGATORS FROM INSTITUTIONS WITHOUT A FEDERALWIDE ASSURANCE NUMBER TO YOUR INSPIR STUDY

"Collaborating Institutional Investigator Agreement (CIIA)"

□ I confirm that all the CIIA External Investigators are "engaged in research" (interacting with directly with subjects for the purpose of collecting research data; and/or accessing individually identifiable data for research purposes. If they are not "engaged in research", then they do not require IRB oversight, do not need to be listed on my INSPIR application, and do not require a CIIA agreement.
□ I understand that I will be required to submit amendments to add additional CIIA External Investigators, even if there is an existing signed CIIA Agreement in place for their institution for the INSPIR study under "Other Study Documents — IAA/IIA Agreement."
\square I confirm that I will verify that all CIIA External Investigators have completed BU Medical Campus CITI training (and GCP training for clinical trials) before adding them to the INSPIR study.
□ I confirm that I will request that all CIIA External Investigators complete a CIIA template provided by the IRB, and attach their BU Medical Campus CITI certificates (and GCP certificates for clinical trials) to their completed CIIA template.

INSPIR APPLICATION SECTIONS

For Institutions with no Federalwide Assurance Number Collaborating Institutional Investigator Agreement (CIIA)

CITI Training CIIA External Investigators have completed BU Medical Campus CITI Training (and GCP Training if the INSPIR study is a clinical trial) See <u>Initial Certification</u> and <u>GCP Training through</u> <u>CITI (Collaborative Institutional Training Initiative)</u> .
Completing Amendment Description (if adding to an approved INSPIR study): List the names of all added CIIA External Investigators
Section 9.0 Study Site Information
☐ Section 9.1 Multi-site research (3 rd option) : Select this option because research activities will require a CIIA agreement with a Relying Site.
☐ Section 9.2 IRB Authorization Agreement: Select YES
☐ Section 9.3 Details of all other research sites: Click ADD A NEW ROW for each Relying Site, then complete the information for PI and Institution name. Check off "Requesting an appropriate Authorization Agreement for this Relying Institution."
$\hfill\Box$ Section 9.4 Management of Information: Complete this text box as it relates to all your Relying Sites.
☐ Section 9.5 Study Attachments: Attach the CV for each external site PI.
☐ Click SAVE AND CONTINUE TO NEXT SECTION.
Section 10.0 IRB Authorization Agreement
\Box Check the box for "B. External Investigators who fall into any of these Special Categories:"
\square Section 10.2 Table of External Investigators
☐ Click ADD EXTERNAL PERSONNEL TO THE STUDY for each individual added
\square Complete all the fields for each CIIA External Investigator. In the Institution column, enter "CIIA". Assign the applicable Role.
☐ Complete the next section for Role/Institution/Role Description.
\square Select the same Role as in the Table.
\square For Institution, select "none"
\Box In the Role Description text box, enter a detailed description of research activities explaining how the External Investigator is "engaged in research" (interacting

directly with subjects for the purpose of collecting research data; and/or accessing individually identifiable data for research purposes); and add that BU Medical Campus CITI training has been completed.
□ Section 10.4 Conflicts of Interest: Answer NO or YES and provide an explanation in the text box. For BU studies, be sure to include these External Investigators in the study disclosure (see the Financial Interest Disclosure form for Boston University). For BMC studies, contact COI-Compliance@bmc.org to request that the External Investigator be added to COI Smart to complete their disclosure.
\square Section 10.5 PI Agreement: Complete this section. It is important to understand your responsibilities as the Lead PI:
I understand that, if this request is approved, the BMC/BU Medical Campus IRB ("the IRB") will be the IRB of record responsible for conducting the initial and continuing review of this protocol. I understand that the decision to cede IRB review is made jointly with the IRBs of the Relying Institution (or the Independent External investigator) and will not be the decision of the PI. The IRB, as the IRB of record, will have full responsibility for oversight of all aspects of the protocol EXCEPT for the following: The PI will have full responsibility for ensuring that the engaged research staff of the Relying Site Principal Investigator(s) in OPTION A have met all their home institutional requirements for ceded research. I will comply with the applicable policies of the IRB. I understand that this agreement is NOT considered approved until a formal Authorization Agreement is signed by the Institutional Officials of both institutions (or with each Independent External Investigator), and the fully signed Agreement is attached to this protocol.
 I understand that as PI for this study I am responsible the ethical conduct of this study. Oversight responsibilities include: Ensuring that all OPTION B External Investigators are listed in the Table of External Investigators of this application Ensuring that all the investigators follow the IRB protocol as approved and make no changes to the protocol without the approval of the IRB (except to eliminate immediate harm to subjects) Reporting to the IRB (per policy) any adverse events, protocol deviations, or unanticipated problems related to the research activities conducted by the External Investigators Reporting to the IRB any changes related to the status of the External Investigators Following all applicable HIPAA rules and using appropriate safeguards to prevent the unauthorized use or disclosure of PHI (Protected Health Information) Ensuring that External Investigators follow any determinations related to conflict of interest from BMC/BU Medical Campus or from their own Relying Institution.
☐ Click SAVE AND CONTINUE TO NEXT SECTION.
Update any other sections as needed.

☐ Click SAVE AND CONTINUE TO NEXT SECTION TO THE END OF THE APPLICATION.
CIIA Agreement ☐ The IRB will review your amendment or new initial submission with the addition of the CIIA External Investigators. ☐ If the IRB agrees to be the IRB of record for the CIIA External Investigators., then the IRB will provide you with a CIIA agreement template for completion and signature by the CIIA External Investigator(s) and an official representing the CIIA non-assured institution. ☐ You will then send back the completed CIIA to the IRB to complete the signature process. ☐ No research activities can begin by the CIIA External Investigators until you receive confirmation from the IRB that the IRB submission is approved; and the fully executed CIIA Agreement is attached under "Other Study Documents — IAA/IIA Agreement."