

# Determinant, mediator, moderator? How does Health Literacy influence selfassessed health? Results from the HLS-EU-study

#### Jürgen M. Pelikan

Em. Prof. Institute for Sociology, University of Vienna Director, WHO-CC Health Promotion at Gesundheit Österreich, Vienna / Austria & Kristin Ganahl

MA, PhD Student at Gesundheit Österreich, Vienna / Austria

8th Annual Health Literacy Research Conference, Bethesda, October 13th to 14th, 2016



# Gesundheit Österreich

# 1. PROBLEM & RESEARCH QUESTION



# There is growing evidence for the relevance of health literacy (HL) for health, but what are the causal mechanisms at work?

- » It has been demonstrated quite well that <u>functional</u> HL has relevant <u>effects</u> on <u>health related indicators</u> for <u>patients</u> and health/<u>disease care related outcomes</u> (cf. Berkman et al. 2011)
- » But there is much less research on these relationships in <u>general populations</u> and/or with a <u>wider</u> more comprehensive <u>definition</u> and <u>measure</u> of HL, e.g.
  - » For community samples of older citizens or patients & <u>mortality</u> (e.g. Baker et al. 2007, 2008, Bostock & Steptoe 2012, McNaughton et al 2015)
  - » For <u>self-rated health status</u> for aged US adults (Bennett et al. 2009, Sentell, et al. 2014), <u>Taiwanese</u> adults (Lee et al. 2010), for <u>Japanese</u> adults (Furuaya et al. 2015), for elderly <u>Chinese</u> (Liu et al. 2015), for immigrants in Canada (Omariba & Ng, 2011)
- » There are only a few studies looking explicitly at <u>mediating effects</u> of HL, e.g.
  - » between <u>education</u> & <u>self-reported health</u> for Dutch adults (van der Heide et al. 2013)
  - » between <u>education</u> & <u>health behaviors</u> for Danish adults (Friis, et al. 2016), or heathinsured Belgiens (van den Broucke & Renwart, 2014)
  - » between <u>race</u> & <u>patient activation</u> for elderly urban minority patients (Gwynn et al. 2016)
- » Even less on moderating effects of HL, e.g.
  - » between <u>age & health related quality of life</u> responses to chronic disease in Chinese rural women (Wang et al. 2015)
- » Data of the <u>HLS-EU survey</u> provide an excellent opportunity for testing the relationship of HL and health for general populations in different countries. Pelikan & Ganahl HARC 2016



GmbH 📍 🎴 🕈

#### Research questions

 In how far is functional or comprehensive <u>health literacy</u> (HL) <u>associated with</u> selfassessed <u>health</u> (SAH)?

2. <u>How</u> does HL influence SAH? As a <u>determinant</u>, or a <u>mediator</u>, or a <u>moderator</u> of

> health, or a combination of these mechanisms?





# Gesundheit Österreich

## 2. CAUSAL MODEL & MEASURES OF VARIABLES



#### A causal model of limited HL for patients in health care



Paasche-Orlow, M.K., Wolf, M.S. (2007): The Causal Pathways Linking Health Literacy to Health Outcomes. Am J Health Behav; 31 (Subbl 1):s19s26.



The Vienna Model of determinants & consequences of HL in general populations based on the HLS-EU model (Pelikan et al 2014)



0. Situational Determinants (Country, Province, District, Urban/Rural, etc.)



The Vienna Model of determinants & consequences of HL in general populations based on the HLS-EU model (Pelikan et al 2014)



0. Situational Determinants (Country, Province, District, Urban/Rural, etc.)



#### Causal ordering & measures of variables used

- **1. Gender** (0 = male, 1 = female)
- 2. Age (years of age, or grouped)
- **3.** Education (ISCED: from 0= lowest to 6=highest level)
- **4. Financial deprivation** (Factor score based on 3 questions from low deprivation to high)
- 5. Self-assessed social status (from 1=lowest to 10=highest place in society )
- 6. NVS (6 questions on ice crème label,0-6 points (6= best), 3 levels)
- **7.** Comprehensive HL (HLS–EU–Q47, Index 0–50 = highest HL, 4 levels)
- 8. Physical Activity (1=almost every day to 4=no physical activity)
- **9.** BMI (Index of weight–for–height;  $kg/m^{2}$ ; Low to high BMI Index)
- **10. Long-term illness** (Minimum European Health Modul, from 1=No long-term illnesses, 2=Yes, one, 3=Yes, more than one)
- **11. Self–assessed health** (SF36 item, from 1=very good to 5=very bad)



#### HLS-EU Survey Overview: Sampling, Data collection

Countries	Austria (AT), Bulgaria (BG), Germany (DE) (only NRW), Greece (EL) (only Athens +), Spain (ES), Ireland (IE), Netherlands (NL), Poland (PL)
Survey Institut	TNS Opinion on behalf of the HLS-EU Consortium
Survey Periode	Summer 2011
Target Population, Population Coverage	EU citizens (!) aged 15 years and over (Euro-barometer Methodology)
HL Instrument	HLS-EU-Q86 (including HLS-EU-Q47 and NVS Test)
Data collection	by computer-assisted personal interviewing technique (CAPI) (BG, IE = PAPI)
Sampling design	<ul> <li>Euro-barometer Methodology</li> <li>Stratified probability sampling (multistage random sample):</li> <li>National sampling points selected randomly (applying random-walk procedure) after stratification for population size and population density (metropolitan, urban and rural areas).</li> </ul>
Response Rates	Austria (67%), Bulgaria (75%), Germany (DE) (53%), Greece (65%), Spain (62%), Ireland (69%), Netherlands (36%), Poland (67%)
Sample Sizes	Austria (1015), Bulgaria (1002), Germany (DE) (1057), Greece (1000), Spain (1000), Ireland (1005), Netherlands (1023), Poland (1000)
Weights	National samples were weighted by gender, age group and size of locality, based on national census data Country size was not used as a weighting criterion for the analyses of the total sample. Total sample values therefore represent a ,country average' where all countries are represented with equal weights regardless of their population size.



# Gesundheit Österreich

#### 3. RESULTS



#### Spearman Correlations for all used variables (for Total, N=8000, HLS-EU 2012)

	Gender	Age	Education	Social Status	Fin. Dep.	NVS	Comp. HL	Physical Activity	BMI	Long-Term Illness	SAHS
Gender	1										
Age	,066**	1									
Education	-,018	-,204**	1								
Social Status	-,007	-,137**	,336**	1							
Fin. Dep.	,050**	,036**	-,200**	-,422**	1						
NVS	,011	-,290**	,347**	,296**	-,196**	1					
Comp. HL	,046**	-,121**	,239**	,291**	-,300**	<b>,2</b> 45**	1				
Physical Activity	,030**	,110**	-,151**	-,205**	,196**	-,170**	-,189**	1			
BMI	-,149**	,336**	-,103**	-,113**	,092**	-,113**	- <i>,</i> 066**	,121**	1		
Long-Term Illness	-,073**	-,425**	,152**	,129**	-,120**	,165**	,156**	-,109**	-,205**	1	
SAHS	,062**	,443**	-,213**	-,254**	,188**	-,231**	-,274**	,200**	,256**	-,589**	1

There are significant correlations between HL indicators & health related outcome indicators, between different health related indicators, but also with socio-demographic & socio-economic confounders!



# There is a <u>gradient</u>: The better health literacy, the better <u>Self-Assessed Health</u> (SF-36) (for Total Sample) (HLS-EU 2012)



<15[N=102]|15-20[N=259]|20-25[N=600]|25-30[N=1348]|30-35[N=2185]|35-40[N=1531]|40-45[N=1048]|45-50[N=704]|TOTAL[N=7777]



# The <u>gradient</u> for self-assessed health holds true for each <u>country</u>, but on a different level and differing correlation, (for 8 Countries & Total Sample (HLS-EU 2012)



Grouped Scores of Comprehensive Health Literacy Index



Gesundheit Österreich

## HEALTH LITERACY AS A <u>DETERMINANT</u> OF SELF-ASSESSED HEALTH, WHEN RELEVANT CONFOUNDERS ARE CONTROLLED FOR



#### Self-assessed health by 5 social and 2 HL determinants

(Beta Weights and Adjusted R-Square for Total Sample and Countries) (HLS-EU 2012)





#### Self-assessed health by 5 social, 2 HL determinants and 3 risk factors

(Beta Weights and Adjusted R-Square) (NVS were not significant!), for Countries and Total Sample (HLS-EU 2012)





Gesundheit Österreich

## HEALTH LITERACY AS A DETERMINANT & MEDIATOR OF HEALTH RELATED INDICATORS – DIRECT AND INDIRECT EFFECTS OF HEALTH LITERACY



Path Model for all variables explaining variation of self-assessed health (Beta weights for TOTAL, HLS-EU 2012) Explained Variance of SAH by CHL: direct .133, indirect .63, total .196



# **Direct & indirect effects** of CHL on SAH and explained variance of dependent health related indicators in path models

(Beta weights and adjusted R2, Total & 4 countries, HLS-EU 2012)

	Total	NL	ES	PL	EL
Effects of CHL on SAH					
- direct	-,133	-0.113	-0.188	-0.123	-0.054
- indirect	-,063	-0.040	-0.010	-0.053	-0.069
- total	-,196	-0.153	-0.197	-0.176	-0.124
<u>R-Square</u>					
- SAH	.454	0.315	0.412	0.555	0.616
- CHI	.196	0.119	0.187	0.291	0.281
- BMI	.087	0.059	0.146	0.110	0.117
- PHA	.063	0.019	0.016	0.035	0.083
- CHL	.189	0.081	0.102	0.258	0.293
- NVS	.220	0.366	0.229	0.211	0.348
		Pelikan & Gar	ahl HARC 2016		20



# Gesundheit Österreich

# HEALTH LITERACY AS A MODERATOR OF HEALTH RELATED INDICATORS



Probability of having fair, bad or very bad self-assessed health for age groups moderated by HL levels (for Total Sample, N= 6923, HLS-EU 2012) > With older age the probability of having fair, bad or very bad selfassessed health is rising steadily, but much less, when one has better HL!



Logistic Regression controlled for: long-term illness (\*), social status (\*), exercising (\*), BMI (\*), Gen-HL-Raw (ns), age (\*), gender (female  $\uparrow$ ) (\*), financial deprivation (\*), demographic standard weights (ns),) – means by HL-levels and age groups Nagelkerke/pseudo R<sup>2</sup>=0,46



Probability of having fair, bad or very bad self-assessed health, for education (ISCED-Levels) moderated by HL levels (for Total Sample, N= 6903, HLS-EU 2012) > With better education the probability of having fair, bad or very bad self-assessed health is decreasing steadily, but much less when one has better health literacy!



Logistic Regression controlled for: long-term illness (\*), social status (\*), exercising (\*), BMI (\*), Gen-HL-Raw (\*), Education (ns), Gender (female ↑) (\*), financial Deprivation(\*)) – means by HL-Levels and Education-Levels Nagelkerke/pseudo R<sup>2</sup>=0,44 Pelikan & Ganahl HARC 2016



#### Summary & discussion

- » <u>Summary</u>
- » For a comprehensive measure of HL (CHL) and for general populations in 8 European countries it could be demonstrated
  - » That CHL is a relevant social determinant of health (SDH) in multivariate models
  - » That CHL & NVS are mediating social determinants on health and their impact on health is also mediated by health behavior indicators or LTI
  - » That CHL is moderating the relationship of age and education on self-assessed health
  - » Explained variances & coefficients of effects vary by country.

#### » <u>Limitations</u>

- » Cross-sectional study!
- » Limited number of variables included
- » No model combining mediating & moderating effects
- » Our results partly depend on the model we have chosen for analysis!



25

#### References

- » Baker, D.W., Wolf, M.S., Feinglass, J., Thompson, J.A., Gazmararian, J.A., Huang, J. (2007) Health Literacy and Mortality among Elderly Persons. Arch Intern Med, 167 (14).
- » **Baker, D.W., Wolf, M.S., Feinglass, J., Thompsons, J.A.** (2008): Health Literacy, Cognitive Abilities, and Mortality among Elderly Persons. J Gen Intern Med, 23 (6).
- » **Bennett, I.M., Chen, J., Soroui, J.S., White, S.** (2009): The contribution of health literacy to disparities in selfrated health status and preventive health behaviors in older adults. Ann Fam Medd; 7(3):204-11.
- » Berkman; N.D., Sheridan, S.L., Donahue, K.E., Halpern, D.J., Crotty, K. (2011): Low Health Literacy and Health Outcomes: An Updated Systematic Review. Ann Intern Med, 155: 97-107.
- » **Bostock, S., Steptoe, A.** (2012): Association between low functional health literacy and mortality in older adults: longitudinal cohort study. BMJ 44:e1602. doi: 10.1136/bmj.e1602.
- » Friis, K., Lasgaard, M., Rowlands, G., Osborn, R.H., Maindail, H.T. (2016): Health Literacy Mediates the relationship between educational attainment and Health Behavior: A Danish Population-Based Study. Journal of Health Communication, 21: sup2: 54-60.
- » **Furuaya, Y., Kondo, N., Yamagata, Z., Hashimoto, H.** (2015): Health literacy, socioeconomic status and selfrated health in Japan. Health Promotion International. doi:10.1093/heapro/dat071.
- Solution Service Se
- » HLS-EU Consortium (2012): Comparative report on health literacy in eight EU member states. The European Health Literacy (Second Revised and Extended Version, Date July 22th, 2014), online Publication: <u>http://lbihpr.lbg.ac.at.w8.netz-werk.com/sites/files/lbihpr/attachments/neu\_rev\_hls-</u> <u>eu\_report\_2015\_05\_13\_lit.pdf</u>
- » Lee, S., Tsai, T., Tsai, Y., Kuo, K. (2010): Health literacy, health status, and healthcare utilization of Taiwanese adults: results from a national survey. BMC Public Health, 10: 614.
- » Liu, Y., Liu, L., Li, Y., Chen, Y. (2015): Relationship between Health Literacy, Health-Related Behaviors and Health Status: A Survey of Elderly Chinese. Int. J. Environ. Res. Public Health, 12, 9714–9725. doi:10.3390/ijerph120809714.



#### References

- » McNaughton, C., Cawthon, C., Kripalani, S., Liu, D., Storrow, A.B., Roumie, C.L. (2015): Health Literacy and Mortality: A Cohort Study of Patients Hospitalized for Acute Health Failure. J Am Heart Assoc. 4:e001799 doi: 10.1161/JAHA.115.001799.
- » **Omariba, D.W, Ng, E.** (2011): Immigration, generation and self-rated health in Canada: on the role of health literacy. Can. J. Public Health, 102(4):281-5
- » Sentell, T., Zhang, W., Davis, J., Baker, K.K., Braun, K.L. (2013): The influence of community and individual health literacy on self.reported health status. J Gen Intern Med; 29(2): 298–304.
- » Sørensen K, Van den Broucke S, Fullam J, Doyle G, Pelikan JM, Slonska Z, Brand H, (HLS-EU) Consortium (2012): Health literacy and public health: A systematic review and integration of definitions and models. *BMC Public Health*, *12* (80).
- » Sørensen K, Van den Brouck S, Pelikan JM, Fullam J, Doyle G, Slonska Z, Kondilis B, Stoffels V, Osborne RH, Brand H (2013): Measuring health literacy in population: illuminating the design and development process of the European Health Literacy Survey Questionnaire (HLS-EU-Q). BMC Public Health, 13, doi:10.1186/1471-2458-13-948
- » Sørensen K, Pelikan JM, Röthlin F, Ganahl K, Slonska Z, Doyle G, Fullam J, Kondilis B, Agrafiotis D, Uiters
   E, Falcon M, Mensing M, Tchamov K, Van den Broucke S, Brand H (2015): Health literacy in Europe: comparative results of the European health literacy survey (HLS-EU). *Eur J Public Health.*
- » Van den Broucke, S. & Renwart, A. (2014): Health literacy mediates the relationship between education level and health behaviour. European Journal of Public Health 24 (Suppl. 2):202–202.
- » Van der Heide, I., Wang, J., Droomers, M., Spreewenberg, P., Rademakers, J., Uiters, E., (2013): The relationship between health, education, and health literacy: results from the Dutch Adult Literacy Skills Survey. Health Communication, 8(Suppl 1): 172–1184.
- » Wang, C., Kane, R.L., Xu, D., Meng, Q. (2015): Health Literacy as a moderator of health-related quality of life responses to chronic disease among Chinese rural Women. BMC Womens Health, 15:34.



#### Contact

Gesundheit Österreich

# Thank you for your attention!

#### Jürgen M. Pelikan

Stubenring 6

1010 Vienna, Austria

- **T:** +43 1 515 61-380
- F: +43 1 513 84 72
- E: juergen.pelikan@goeg.at

www.goeg.at