Obedience to Authority: The Milgram Experiment & Its Contemporary Replication Was It Ethical Then? Is It Ethical Now?

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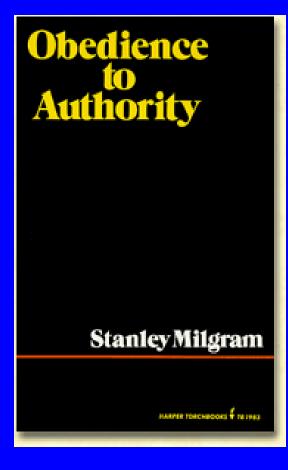
#### Goals

- Describe purpose and methods of Milgram experiment and repeated experiment by Burger
- Apply ethical principles to experiment(s) to determine acceptability of each
- Apply the results of the experiments to your own research setting

#### The Study Design

The Results

## Milgram Study 1963



Purpose:
Determine response to authority
Recruited volunteers to study learning and memory

#### **Research Question**

How far would research subject go in administering a "shock" in response to encouragement from authority to continue when the learner appeared to be in extreme pain?

When would they defy authority ?

#### Persons Needed for a Study of Memory

\*We will pay five hundred New Haven men to help us complete a scientific study of memory and learning. The study is being done at Yale University.

\*Each person who participates will be paid \$4.00 (plus 50c carfare) for approximately 1 hour's time. We need you for only one hour: there are no further obligations. You may choose the time you would like to come (evenings, weekdays, or weekends).

\*No special training, education, or experience is needed. We want:

Factory workers	Businessmen	Construction workers
City employees	Clerks	Salespeople
Laborers	Professional people	White-collar workers
Barbers	Telephone workers	Others

All persons must be between the ages of 20 and 50. High school and college students cannot be used.

\*If you meet these qualifications, fill out the coupon below and mail it now to Professor Stanley Milgram, Department of Psychology, Yale University, New Haven. You will be notified later of the specific time and place of the study. We reserve the right to decline any application.

\*You will be paid \$4.00 (plus 50c carfare) as soon as you arrive at the laboratory.

#### TO:

PROF. STANLEY MILGRAM, DEPARTMENT OF PSYCHOLOGY, YALE UNIVERSITY, NEW HAVEN, CONN. I want to take part in this study of memory and learning. I am between the ages of 20 and 50. I will be paid \$4.00 (plus 50c carfare) if I participate

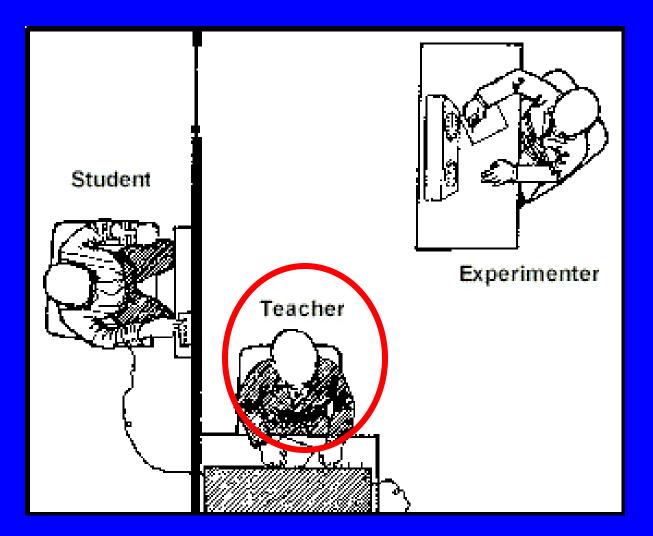
JAME (Please Print).	
DDRESS	
ELEPHONE NO Best time to call you	
GE OCCUPATION SEX SEX	
VEEKDAYS EVENINGS WEEKENDS	

Fig. 1. Announcement placed in local newspaper to recruit subjects.

#### The "Student"



#### **The Study Design**



## **Learning Task**

Word Pairs

blue box
nice day
wild duck

Testing Sequence:

Blue: sky ink box lamp

Learner presses switch; lights up

#### **The "Shock Generator"**

TRAN			Carlos and a state	11
	-	0		
1 2 3 4 5 6 7 8 3 15				30 450 VOLTS
	ONG VERY OCK SHOCK	INTENSE EXTREME SHOCK INTENSITY - SHOCK	DANGER: SEVERE X X SHOCK	x
		1 1 1 1 1		
	Fig. 4. Diagram	m of control pane	el.	

## **A Real Sample Shock**



## Strapping in the "Learner"



## **Experimenter Feedback**

- 1. "Please continue."
- 2. "The experiment requires that you continue."
- 3. "It is absolutely essential that you continue."
- 4. "You have no other choice, you *must* go on."

#### **Learner Protests**

- **75** V, 90V, 105 V: little grunt
- 120 V: shout that shocks becoming painful
- 135 V: painful groans
- 150 V: "Experimenter, get me out of here! I won't be in the experiment any more! I refuse to go on!"
- 180 V: "I can't stand the pain!"
- 270 V: agonizing scream
- 300 V: shout in desperation that he would no longer answer
- 315 V: violent scream; vehemently reaffirmed that he was no longer a participant
- 330 V: shrieked in agony
  - >330 V: no sounds

## **Follow-Up**

Interviewing and Debriefing
1 year f/u questionnaire
Sub-group: psychiatric evaluation

## **Experimental Conditions**

- 1. Remote feedback
- 2. Voice feedback
- 3. Proximity
- 4. Touch-proximity
- 5. Heart problem
- 6. Personnel change
- 7. Authority closeness
- 8. Women as subjects
- 9. Victim's limited contract
- 10. Institutional context

- 11. Subject chooses shock
- 12. Learner demands shock, experimenter no
- 13. Orders by ordinary man
- 13a.Subject as bystander
- 14. Authority as victim
- 15. Two conflicting authorities
- 16. Two authorities each role
- 17. Two peers rebel
- 18. Peer administers shock

#### **A Variation**



# **BELMONT REPORT**

ports **Û**≝ PRR **S** 1979

The Belmont Report

Ethical Principles and Guidelines for the Protection of Human Subjects of Research

The National Commission for the Protection of Human Subjects of Biomedical and Behavioral Research

#### **3 Ethical Principles**

Respect for Persons
Beneficence
Justice

#### **Nuremberg Code - published 1949**

1. The voluntary consent of the human subject is absolutely essential.

- 4. The experiment should be so conducted as to avoid all unnecessary physical and mental suffering and injury.
- 7. Proper preparations should be made and adequate facilities provided to protect the experimental subject against even remote possibilities of injury, disability, or death.
- During the course of the experiment, the human subject should be at liberty to bring the experiment to an end, if he has reached the physical or mental state, where continuation of the experiment seemed to him to be impossible.
- 10. During the course of the experiment, the scientist in charge must be prepared to terminate the experiment at any stage, if he has probable cause to believe, in the exercise of the good faith, superior skill and careful judgement required of him, that a continuation of the experiment is likely to result in injury, disability, or death to the experimental subject.

#### Criteria for IRB approval

45 CFR 46.111 21 CFR 56.111

- Risks are minimized
- Risks are reasonable in relation to benefits
  - To subjects, if any
  - Importance of knowledge
- Selection of subjects is equitable
- Informed consent will be obtained
- Informed consent will be documented
- Safety monitoring, when appropriate
- Protection of privacy, when appropriate

## Milgram Study: Ethical Problems

Respect for persons - Deception
 Beneficence - Psychological harm

"I observed a mature and initially poised businessman enter the laboratory smiling and confident. Within twenty minutes he was reduced to a twitching, stuttering wreck, who was rapidly approaching a point of nervous collapse." Stanley Milgram

#### **Burger - 2006**

Santa Clara UniversityABC News *Primetime* 





## **Study Design Changes**

- Maximum "shock" 150V
  Subject screening
  Subjects told ≥ 3 they could withdraw
- Sample shock 15V (instead of 45V)
- Immediate debriefing
- Experimenter = clinical psychologist; session stopped if excessive stress

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#### Virtual "Milgram"

Slater M, Antly A, Davidson A, et al. Virtual reprise of the Stanley Milgram obedience experiments. PLoS ONE 2006:1(1): e39.

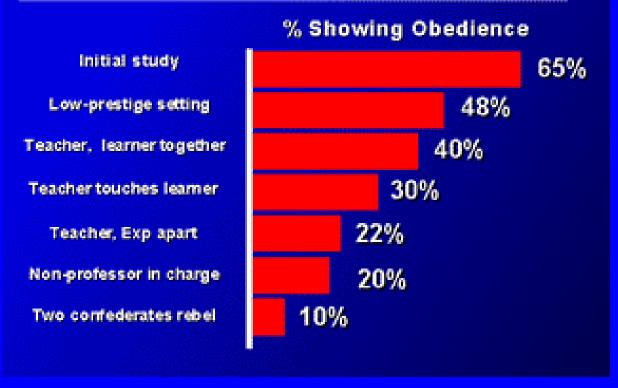
Purpose: would subjects respond to such an extreme social situation as if it were real



## **Results and Implications**

## **Milgram's Results**

#### **Milgram's Obedience Studies**



## **Jerry Burger**

"We found obedience rates in 2006 only slightly lower than what Milgram found 45 years earlier. Contrary to expectation, participants who saw a confederate refuse the experimenter's instructions obeyed as often as those who saw no model. Men and women did not differ in their rate of obedience, but we found some evidence that individual differences in empathic concern and desire for control affected participants' responses. " http://abcnews.go.com/video/playerInde x?id=2769000

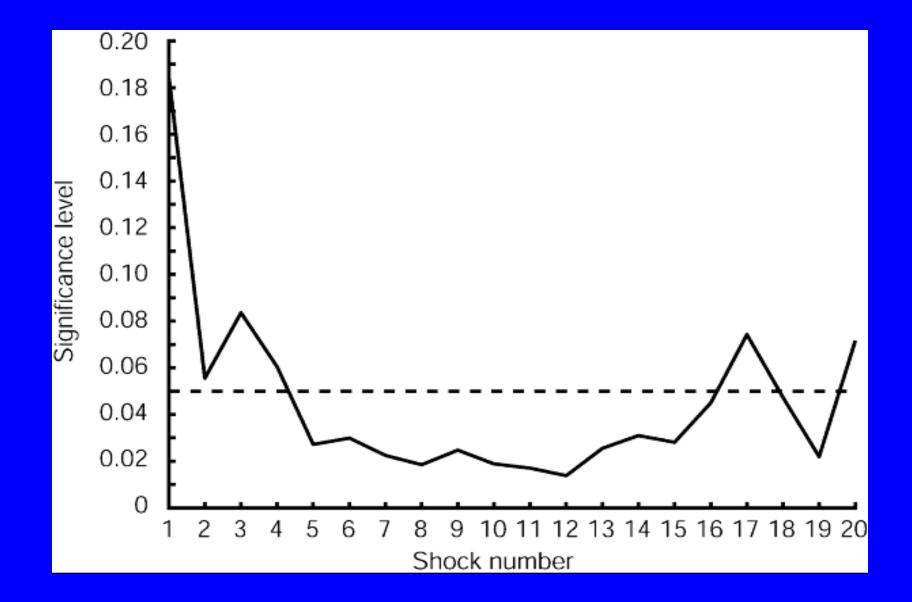
http://thesituationist.wordpress.com/200 7/12/22/the-milgram-experiment-today/

#### Burger American Psychologist, in press

	Base Condition	Modeled Refusal Condition	Milgram #5
Stopped at <a href="https://www.sciencescomes.com"></a>	30%	37%	18%
Continued after 150	70%	63%	82%

#### Burger American Psychologist, in press Men Women

	Base Condition	Modeled Refusal Condition
Stopped at <u>&lt;</u> 150V	33% 27%	46% 37%
Continued after 150	67% 73%	55% 68%



#### Milgram and others: Lessons

People can readily perform unethical acts in the presence of an authority figure

Authority relationships:
 --PI over staff
 --PI over subject
 --Protocol over PI

## Investigator-Subject Relationship

The investigator must place the subject's rights, welfare and safety above all other personal and scientific concerns.

# Human Subjects Protection: Shared Responsibility

#### IRB

Chairs,members, staff

Sponsor

Industry, Gov't, Foundations, Institution Subject

Research Team

PI, Co-Investigators, Staff

#### Institution

Institutional officials, leadership

## Researcher's Ethical Obligations

- Good science
- Scrupulous honesty
- Duty to protect subjects
  - Early termination of participation
- Obligation not to enroll subjects who cannot give voluntary, informed consent
- To protect rights and welfare



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