

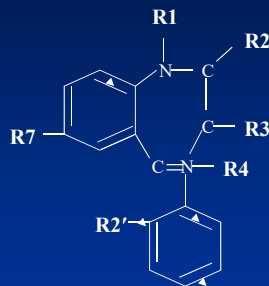
# Anxiety Disorders, Insomnia, PTSD, OCD

Steven L. Dubovsky, M.D.



# Pharmacotherapy of Anxiety

## Basic Benzodiazepine Structure

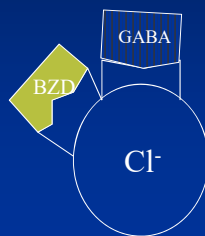


Benzodiazepine	R1	R2	R3	R7	R2'
Alprazolam	Fused triazolo ring		-H	-Cl	-H
Chlordiazepoxide	-	-NHCH <sub>3</sub>	-H	-Cl	-H
Clonazepam	-H	=O	-H	NO <sub>2</sub>	-Cl
Diazepam	-CH <sub>3</sub>	=O	-H	-Cl	-H

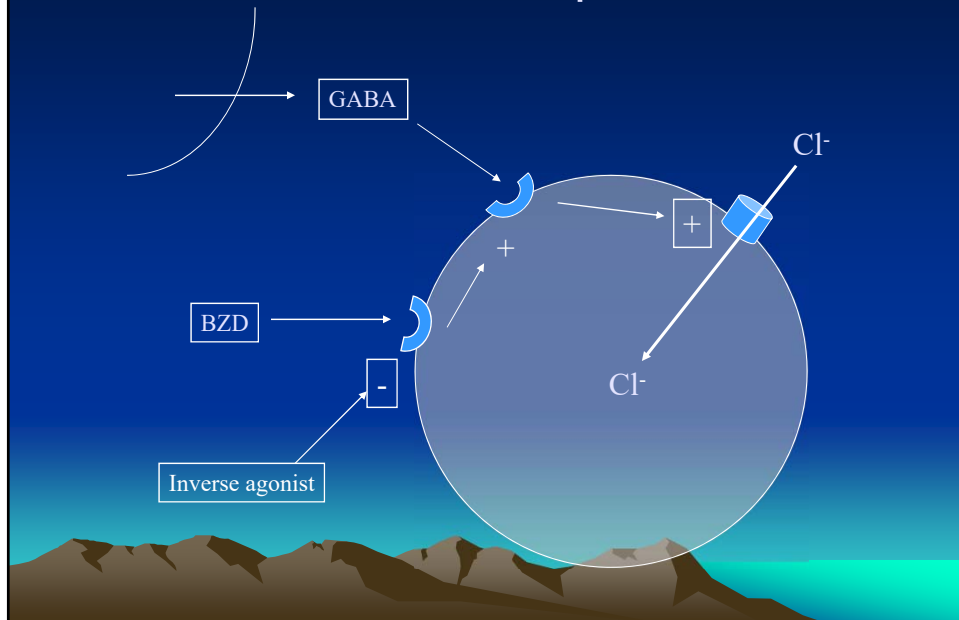
## The GABA Receptor Complex



## GABA-Benzodiazepine Receptor Complex

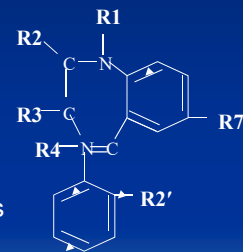
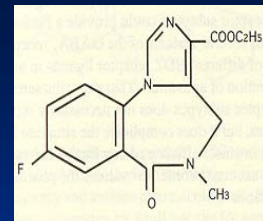


## Benzodiazepine Action



## Flumazenil

- Benzodiazepine receptor antagonist
- Therapeutic uses:
  - Benzodiazepine overdose
  - Reversal of conscious sedation
  - Reversal of hepatic encephalopathy symptoms
  - Facilitation of benzodiazepine withdrawal
- Can provoke withdrawal and induce anxiety



## BZD Receptor Subtypes

- Type 1: Limbic system, locus coeruleus
  - Anxiolytic
- Type 2: Cortex, pyramidal cells
  - Muscle relaxation, anticonvulsant, CNS depression, sedation, psychomotor impairment
- Type 3: Mitochondria, periphery
  - Dependence, withdrawal

## BZD Features

- Potency
  - High potency: Midazolam (Versed), alprazolam (Xanax), triazolam (Halcion)
  - Low potency: Chlordiazepoxide (Librium), flurazepam (Dalmane)
- Lipid solubility
  - High solubility: Alprazolam, diazepam (Valium)
  - Low solubility: Lorazepam (Ativan), chlordiazepoxide (Librium)
- Elimination half-life
  - Long half-life: Diazepam, chlordiazepoxide
  - Short half-life: Alprazolam, midazolam

## Potency

- High potency
  - Smaller dose to produce same effect
  - More receptor occupancy
  - More intense withdrawal
- Low potency
  - Higher doses used
  - Less intense withdrawal

## High Lipid Solubility

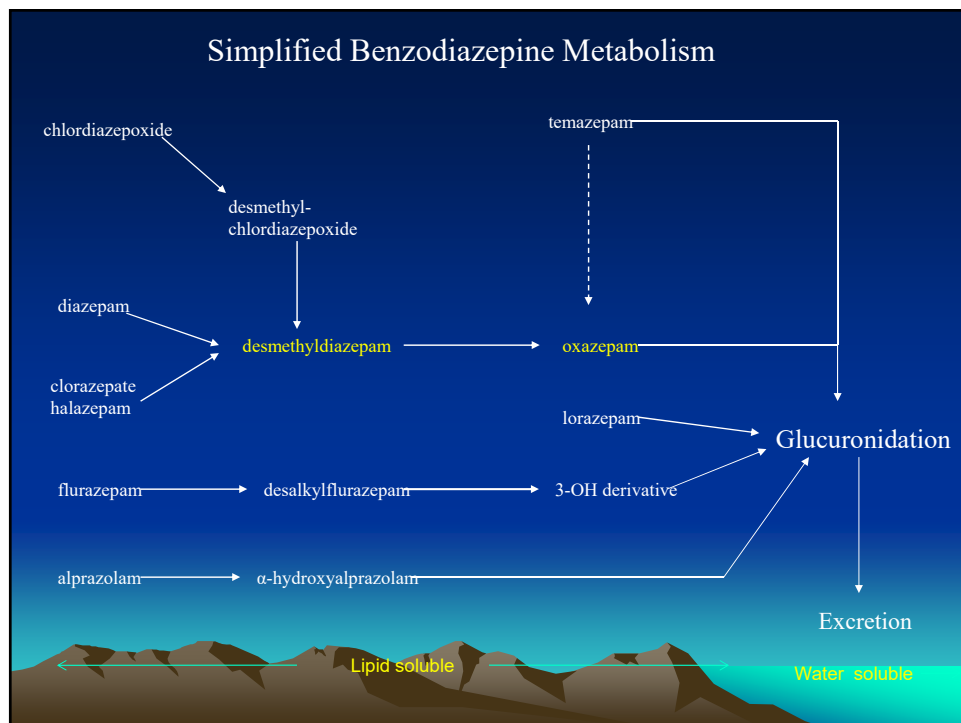
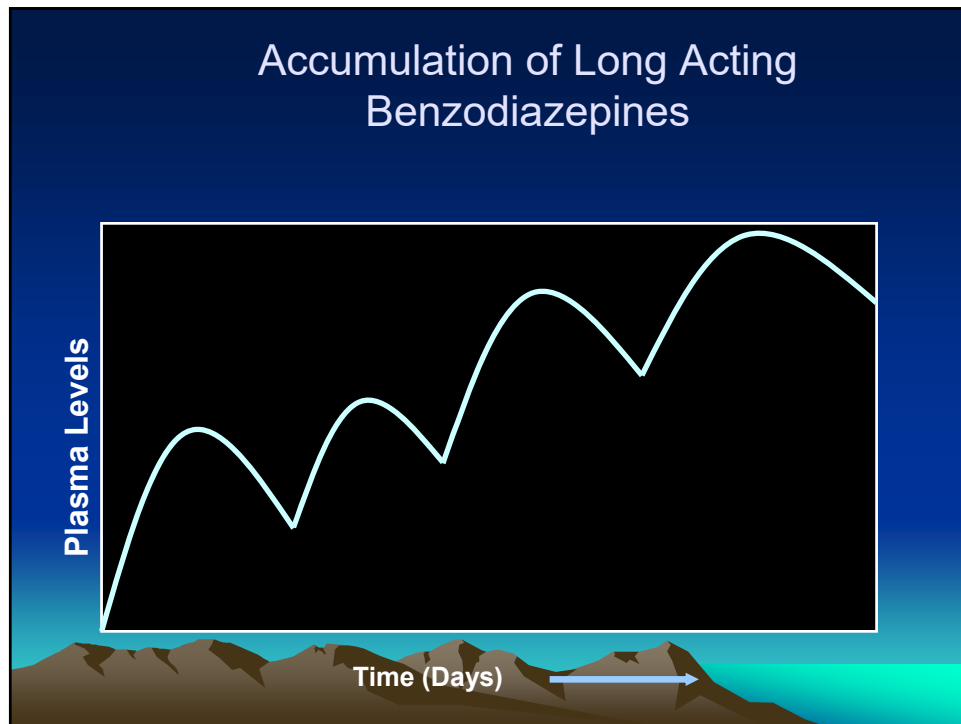
- Drugs get into brain fast and leave rapidly
- Preferable for patients who need rapid onset of action
  - Acute anxiety
- Bad for patients who do not like to feel that they are losing control
  - Can make patients feel spaced out
- More likely to produce a “buzz”
  - Can increase risk of dependence

## Low Lipid Solubility

- Get into and leave brain slowly
- Slow onset of action
- Effect lasts longer after a single dose
- Less likely to cause a “buzz”
  - Lower abuse potential

## Elimination Half-Life

- Long half-life
  - Less frequent dosing
  - More accumulation with divided dose
  - Slower onset of withdrawal
  - Longer, more attenuated withdrawal
- Short half-life
  - Dosed more frequently
  - Less accumulation
  - Faster onset of withdrawal
  - Shorter, more intense withdrawal



## Benzodiazepine Metabolic Pathways

- Complex
  - Diazepam (Valium)
  - Chlordiazepoxide (Librium)
  - Flurazepam (Dalmane)
- Simple
  - Midazolam (Versed)
  - Alprazolam (Xanax)
  - Lorazepam (Ativan)
  - Oxazepam (Serax)

## Predictors of a Good Response to a Benzodiazepine

- Acute symptoms
- Precipitating stress
- High levels of anxiety
- Low levels of depression
- Previous good response
- Awareness that problem is mental
- Expectation of medication

## Choosing a Benzodiazepine

- Anxious CCU patient
- Agitated medical/neurological patient
- Chronic anxiety in healthy patient
- Medically ill patient
- Acute agitation
- Rapid onset drug
  - Diazepam, alprazolam, midazolam
- Drug that will not accumulate
  - Lorazepam, oxazepam, midazolam
- Long half-life, low lipid soluble drug
  - Chlordiazepoxide, clonazepam
- Drug with simple metabolic pathway and intermediate half-life
  - Oxazepam, lorazepam, temazepam (Restoril)
- Midazolam, lorazepam

## Common Misconceptions About Benzodiazepines

- Therapeutic effects diminish over time.
- Long-term users tend to escalate their doses.
- Dependence is the usual reason for long-term use.
- BZDs produce euphoria in most people.
- BZDs are commonly abused by people who do not otherwise abuse substances
- Elimination half life equals duration of action


## Problems with BZDs

- Sedation
- Psychomotor impairment
- Interdose withdrawal with short acting BZDs, especially alprazolam
- Interactions with other CNS depressants, especially alcohol
- Discontinuation syndromes
- BZDs can reinforce passive approach to illness and desire for immediate relief from a pill

## Driving Impairment


- 225,796 patients over 20 years old who got a first prescription of a benzodiazepine were compared with 97,862 controls
- New use of a benzodiazepine associated with an increased risk of a traffic accident within the first 4 weeks
- Greatest risk was with flurazepam
- OR for an accident
  - 6.1 for those under 60
  - 3.4 for those over 60
- Alprazolam 1 mg or placebo were administered in a double-blind design and a 100 km driving test in normal traffic was performed an hour later and psychomotor tests were performed 2.5 hours later. Subjects were crossed over to the other condition 2 weeks later
- Driving was significantly impaired by alprazolam compared to placebo, especially more
  - Weaving
  - Speed variability
  - Excursions out of lane
  - Equivalent to impairment with BAL of 0.15%
- Alprazolam also produced impairment compared to placebo on cognitive testing of
  - Tracking
  - Reaction time
  - Attention
  - Memory scanning

Neutel 1998; Verster 2002

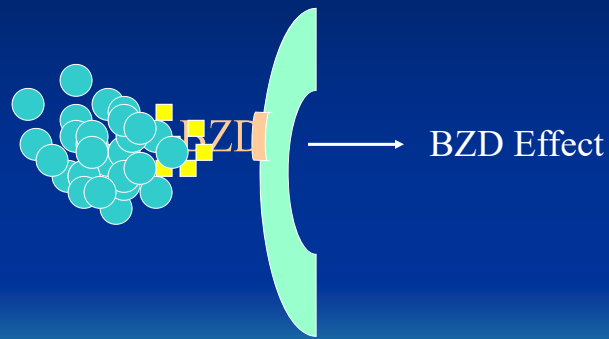


Zaleplon (*Sonata*)  
Zolpidem (*Ambien and  
Ambien CR*)  
Eszopiclone (*Lunesta*)

## Agents Selective for the BZD-1 Receptor

- Quazepam
    - Metabolized to desalkylflurazepam
  - Zolpidem (Ambien), zalepon (Sonata)
    - Used as hypnotics
    - Not particularly effective as anxiolytic, muscle relaxant, anticonvulsant
    - Zolpidem has more next-day psychomotor impairment than originally thought
  - Less effect on sleep architecture
  - Antagonized by flumazenil
- 

## Partial Agonist Actions Depend on Agonist Availability



## MVAs and Benzodiazepine Agonists

- Study of 72,685 French drivers involved in MVA with injury from 2005-2008
- Odds ratio for being responsible for the MVA with
  - Benzodiazepine hypnotics: 1.39
  - Zolpidem: 2.46
  - Zopiclone: not increased, but other studies indicate O.R. of 2.3

L. Oriols et al: Benzodiazepine-like hypnotics and the associated risk of road traffic accidents. Clin Pharmacol Ther 2011;89:595

## Alternatives to Benzodiazepine Receptor Agonists in the Treatment of Anxiety Disorders

- Antidepressants
  - Effective for GAD as well as panic and social anxiety
- Buspirone
  - Can be helpful at high doses
- Valproate
- Gabapentin, pregabalin
  - Helpful for depression as well as anxiety
- Antihistamines
  - One positive study of hydroxyzine
- Tiagabine
  - Studied only as augmentation
- Atypical antipsychotics
  - Can improve severe anxiety
  - Limited reliable data
  - Consider primarily for refractory anxiety in odd patients

## Chamomile for GAD

- 57 outpatients with mild-moderate GAD
- Randomized to 880-1000 mg German chamomile extract or placebo
- 8 week double-blind trial
- HAM-A score decrease 6.29 greater with chamomile versus placebo
  - C: 15.5-8
  - P: 14-11.5

JD Amsterdam et al: J Clin Psychopharmacol 2009;29:378-382

## Pharmacotherapy Studies in Pediatric Anxiety Disorders

- Most studies are with antidepressants, especially SSRIs
  - Separation anxiety, social anxiety, generalized anxiety
- Overall medication response
  - Active medication: 58-90%
  - Placebo: 32%
  - RR=1.9
  - NNT=4
  - Symptom improvement faster with medication
- CBT > antidepressants for remission and clinical significance in anxiety
  - 21 RCTs show that 39-80% improve with CBT
  - Medication effect levels off after 8 weeks; response to CBT continues to increase
- Most data for pediatric OCD
- Better response of non-OCD anxiety disorders than OCD
- No difference between SSRIs and SNRIs
  - Venlafaxine increases risk of deterioration in some reports
- Comorbid depression does not reduce treatment response of anxiety disorders
- Improvement of anxiety disorders not explained by improvement of concurrent depression
- Twice as many patients withdraw because of side effects from medication versus placebo

JC Ipser et al, 2009; GP Kessler, GS Ginsburg, Int Rev Psychiatry 2008; 20: 159

## Psychotherapies

- CBT
- Relaxation
- Hypnosis
- Biofeedback
- Mindfulness
- All reduce tension, decrease helplessness, and increase sense of safety

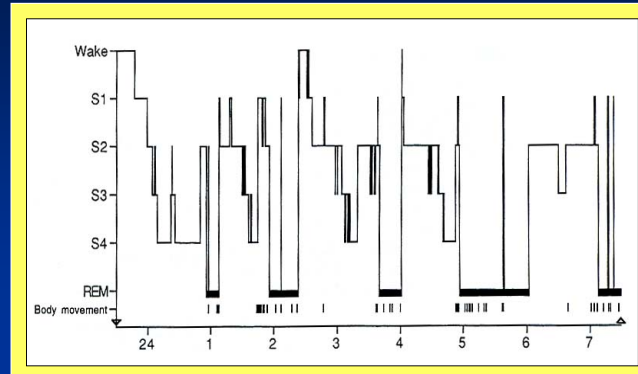
# Combined Psychotherapy and Pharmacotherapy

- When to start medication first
  - Severe symptoms
  - Good but incomplete medication response
  - Plan to cross-taper to psychotherapy to avoid long-term medication use
- When to start psychotherapy first
  - Symptoms less acute
  - Patient preference
  - Less severe symptoms
  - Comorbidity
- When to start both together
  - Complex disorders
  - Family psychopathology
  - History of treatment resistance

CP Keelon, GS Ginsburg. Int Rev Psychiatry 2008;20:151

# Insomnia

## Sleep Architecture



**TST:** Total Sleep Time  
**TIB:** Time In Bed  
**SE:** Sleep Efficiency =  $TST / TIB \times 100\%$   
**SOL:** Sleep Onset Latency  
**WASO:** Wake After Sleep Onset


Courtesy of N Sussman, M.D.

## Epidemiology of Insomnia


- Occasional insomnia: 70% of population
- Frequent insomnia: 10%
- 60% increase in sleeping pill prescriptions to adults
- 85% increase in prescriptions to children

NY Times 10/19/05, 2/7/06

## Common Causes of Insomnia

- Axis I disorders
    - Depression
    - Anxiety
    - Hypomania
    - PTSD
  - Medication/substance effects
    - Side effects (e.g., caffeine, nicotine, stimulants)
    - Withdrawal/rebound (e.g., CNS depressants, alcohol)
  - Medical disorders
    - GE reflux
    - Heart failure
    - MI
    - Dementias
    - Cancer
    - Pain
  - Parasomnias
    - Periodic leg movements
    - Sleep disordered breathing
  - Sleep phase change
  - Psychophysiologic insomnia
- Secondary insomnia
- 

## Common Treatments of Insomnia

- Alcohol
  - Over the counter drugs
    - Diphenhydramine
    - Valerian
    - Doxylamine
    - Nyquil
  - Someone else's medication
  - Prescription hypnotics
- 

## Components of Primary Insomnia

- May be initial precipitating event or illness
- Hyperarousal
  - Elevated baseline or failure to down-regulate at night
  - Conditioning of arousal to being in bedroom
    - Increasing time spent in bed trying to get to sleep
    - Keeping TV on
    - Snacking at night
- Cognitive
  - Prone to worry, especially sleep-related worry
  - Selective attention to insomnia symptoms
- Circadian dysregulation
  - Initial insomnia: phase delay
  - Terminal insomnia: phase advance
  - Disturbance of timing or power of slow wave sleep
- Disrupted sleep “homeostat”
  - Inability to generate recovery sleep after sleep deprivation
  - Substantial sleep deprivation needed to reset homeostat
    - Should be a component of therapy

Pegeon and Perlis: Sleep Medicine Reviews 2006;10:247-264

## Sleeping Pill Sales

- More than \$300 million in advertising in 2005
  - Increase of 400% over previous year
    - Attributable to new Lunesta and Rozerem ads and doubled Ambien-CR ads to address increased competition
  - Lunesta manufacturer estimated it would eventually spend as much on advertising as McDonald's
- \$2 billion/year in sales
- Best sellers: Ambien, Lunesta
  - Lunesta sales in first year: \$329 million
    - 3.3 million prescriptions
    - \$186 million in marketing by November 2005
    - Manufacturer's stock jumped \$8.53 in one day

NY Times 10/19/05, 2/7/06

## DTC Marketing

- FDA eased restrictions in 1997
  - Industry advertising increased from \$55 million to \$3 billion
- Since “the company’s potential markets [have] been limited to sick people,” [I hope] to “make drugs for healthy people”: Industry CEO
- Lunesta roll out tied to DTC campaign on “Desperate Housewives”
  - Audience 55% female
  - Women have insomnia > men
- Ambien CR released as patent for Ambien expires
- Initial FDA reaction to coupons for free introductory Ambien: “prescription drugs promoted with coupons or free trial offers may be seen as more widely indicated, more appropriate, and/or less risky than they really are”
  - Notice subsequently withdrawn as FDA studied the issue further

Moynihan and Cassels 2005; NY Times 2/7/06; Reuters: NY times, 8/14/06

## Benzodiazepine Hypnotics

Drug	Usual adult oral dose (mg)	Tp (hrs)	T1/2 (hrs)	Protein binding (%)	Urinary excretion, unchanged (%)
Estazolam (Prosom®)	1-2	2	10-24	93	< 5
Flurazepam (Dalmane®)	15-30	0.5-1 (7.6-13.6) <sup>1</sup>	2-3/74-90 <sup>1</sup>	97	< 1
Quazepam (Doral®)	7.5-15	2 (1-2)	41 (47-100) <sup>1</sup>	> 95	Trace
Temazepam (Restoril®)	15-30	1.2-1.6	3.5-18.4 (9-15)	96	0.2
Triazolam (Halcion®)	0.125-0.5	1-2	1.5-5.5	78-89	2

<sup>1</sup>N-desalkylflurazepam, active metabolite

Courtesy of N. Sussman, M.D.

## Benzodiazepine Sleep Effects

- Reduced sleep latency, awakenings and duration of awakenings
- Increased total sleep time
- Prolonged REM latency
- Reduced REM in the first third of the night
- Increased duration of Stage 2
- Reduced duration of Stage 1
- Reduction or abolition of Stage 4

## “Z” Drugs

Drug and Class	Half Life (hr)	Dose (mg)	Interactions
<b>Eszopiclone (Lunesta)</b> cyclopyrrolone	5-7	1-3	Drugs that inhibit CYP3A4, etoh, olanzapine
<b>Zolpidem (Ambien, Ambien CR)</b> imidazopyridine	3	5-10; 6.25-12.5 (CR)	Possibly drugs that inhibit CYP3A4
<b>Zaleplon (Sonata)</b> pyrazolopyrimidine	1-2	5-20	Possibly drugs that inhibit CYP3A4

Adapted from Silber M, NEJM 353;8: 806.

## Zaleplon (Sonata)

- Used for initial insomnia
- Effect does not last long
- Does not increase total sleep time or decrease awakenings versus placebo

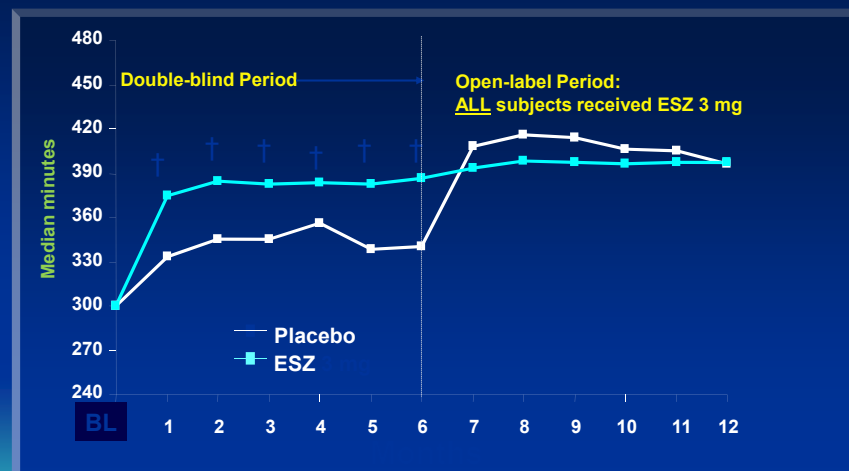
## Zolpidem (Ambien CR)

- Reasons for development
  - Improve sleep maintenance as well as sleep induction
  - Extend patent
- Bi-layered tablet
  - First layer dissolves quickly to induce sleep
  - Second layer is released more gradually into the body to help provide more continuous sleep
  - Same time to peak onset as the immediate-release (IR), but concentrations are slightly lower
- Serum concentrations higher from 2.5 to 8 hours after the dose
- 12.5 mg of CR is more sedating than 10 mg IR
- Zolpidem associated with increased risk of falls in inpatients
- Daytime impairment common

## Nonselective Partial BZD Receptor Agonists

- Zopiclone (Not available in U.S.)
- Eszopiclone (Lunesta)
  - S-enantiomer of zopiclone
- Weaker acute effect than benzodiazepines
- Minimal effects on sleep architecture
- Minimal to no anxiolytic, anticonvulsant, muscle relaxant properties
- Less dependence and withdrawal
  - These can still occur
- Approved for longer-term use for insomnia
  - No data > 6 months

## Eszopiclone (ESZ): Effects on Total Sleep Time--Long-term Study



† $P < 0.0001$  vs PBO.  
Krystal et al. *Sleep*. 2003;26:793-799.

## “Z” Drug Side Effects

- Next day somnolence
- Rebound insomnia on first night of discontinuation
- Tolerance
- Headache
- Dizziness
- Amnesia
  - Especially at higher doses
- Abuse in those with history of substance abuse (mainly zolpidem)
- Hallucinations at recommended doses (mainly zolpidem)
- Sleep automatisms with next day amnesia

## “Z” Drugs: *The Medical Letter* Conclusions

“The main difference between all of them, except for half-life, is that the manufacturer of *Lunesta* sponsored a 6-month trial and submitted the results to the FDA, while the other 2 manufacturers did not.”

The Medical Letter. February 28, 2005

# Sleeping Pills and Risk of Death

- 10,529 patients who received hypnotics compared to 23,676 matched controls
  - Mean age 54
  - Followed for 2.5 years
- Data adjusted for age, gender, smoking, body mass index, ethnicity, marital status, alcohol use and prior cancer
- H.R.s for dying in patients taking hypnotics compared with no hypnotics:
  - <18 doses/year: 3.6
  - 18-132 doses/year: 4.43
  - >132 doses/year: 5.32

Slide 1

DF Kripke et al: BMJ Open 2012;2:e000850 doi:10.1136/bmjopen-2012-000850

# Sleeping Pills and Risk of Death

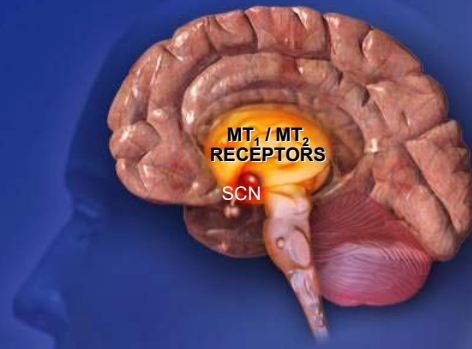
- Increased H.R.s individually for
  - Zolpidem
  - Eszopiclone
  - Zaleplon
  - Benzodiazepines
  - Sedating antihistamines
- Not attributable to pre-existing illness
- Insomnia requiring hypnotic could be an indicator of vulnerability to disease

Slide 2

DF Kripke et al: BMJ Open 2012;2:e000850 doi:10.1136/bmjopen-2012-000850

### Melatonin (Hormone of Darkness)

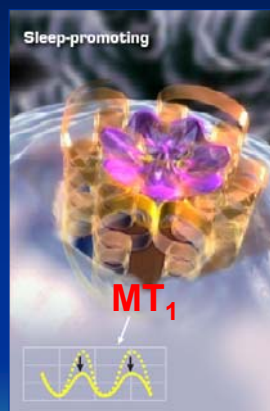
- Secreted from pineal gland during darkness/ indirectly feedbacks to SCN
- High levels secreted prior to sleep -- Levels low during wakefulness



**MT1 and MT2 receptors are critical to the regulation of the body's sleep-wake cycle**

Dubocovich M, et al. *Frontiers Biosci.* 2003

## Suprachiasmatic Nucleus



MT<sub>1</sub> agonism

- Attenuates SCN alerting signal
- Sleep-promoting effect



MT<sub>2</sub> agonism

- Synchronizes circadian clock
- Phase-shifting effect

Dubocovich M et al. *Frontiers Biosci* 2003; Lieu C et al. *Neuron*. 1997

## Melatonin

- Can shift sleep-wake cycle but does not increase total sleep
- In nine of 10 controlled trials, melatonin taken close to the target bedtime at the destination decreased jet lag when crossing 5 or more time zones
  - Doses of 0.5 and 5 mg were equally effective except that sleep onset was sooner with 5 mg
  - Doses >5 mg are no more effective
  - Slow-release melatonin was not as effective, suggesting that a short lived high peak level is important
  - NNT for meaningful benefit = 2
- Mixed data on benefit for sleep disorder of dementia

Herxheimer, 2002

## Ramelteon (Rozerem)

- Approved for the treatment of sleep onset insomnia
- MT1 and MT2 receptor agonist
- No abuse potential

## Ramelteon (Continued)

- Pharmacokinetics:
  - T  $\frac{1}{2}$  2-5 hours
  - Dose: 8 mg, 30 minutes before going to bed
  - Metabolized by CYP1A2
    - CYP2C and CYP3A4 minor paths
  - Should not be used in severe hepatic impairment or with fluvoxamine
  - Do not take with a high fat meal
- Adverse Events & Safety
  - Drowsiness
  - Dizziness
  - Increased prolactin levels
  - Cessation of menses
  - Galactorrhea
  - Decreased libido
  - Problems with fertility

## Orexin (Hypocretin)

- In glutaminergic neurons in lateral hypothalamus
  - Diffuse projections to locus coeruleus arousal center
- Orexin-A and -B
- Hcr1 and 2 receptors
- Generate and maintain wakefulness
  - Sleep-to-wake transition
- Narcolepsy associated with loss of orexinergic neurons
- Animal studies suggest orexin-1 and -2 antagonists induce sleep at doses that do not impair cognition

JM Ustuner: Science Transl Med 2013;179:1; M Mieda and T Sakurai: CNS Drugs 2013;27:83; L de Lecea et al: Biol Psychiatry 2012;71:1046-1052

## Suvorexant (Belsomra)

- Dual orexin receptor antagonist (DORA)
- May reduce mesolimbic dopamine signaling
  - Possible decreased risk of dependence
- Industry sponsored 3-month studies
  - Decreased sleep latency by 10-22 minutes
  - Decreased WASO by 38-42 minutes
- 1-year study of 30 or 40 mg suvorexant (N=522) versus placebo (N=259)
  - 2-month double-blind discontinuation phase
  - 62-63% completed study
  - Insomnia improved more on suvorexant than placebo
    - At 1 year, subjective total sleep time increased 60 minutes versus 33 minutes on placebo
      - No objective sleep measures
  - Discontinuation of suvorexant associated with relapse of insomnia
- No effect on mood; no narcolepsy symptoms noted
- FDA insists on 15 mg maximum dose, which “may not be low enough for safe use”
  - Current labeling recommends 10 mg, with increase to 20 mg if necessary
    - Impaired driving the day after 20 mg HS dose
- Almorexant withdrawn due to adverse effects

D. Michelon et al. *Lancet Neurology* 2014;13:461; M. Meads and T. Saito, *CNS Drugs* 2013;27:81

## Lemborexant (Dayvigo)

- Dual orexin receptor antagonist
- 2.5-10 mg studied
- No impaired driving 9 hours after dosing
- Improves sleep efficiency and subjective sleep
- Daytime sedation not excessive

P. Murphy et al: *J Clin Sleep Med* 2017;13:1289

## Sedating Antidepressants as Sleeping Pills

- Tertiary amine TCAs
  - Doxepin, trimipramine
    - H1 antihistamine
  - Amitriptyline
    - Useful for chronic pain
- Trazodone
  - Equivalent to zolpidem for sleep latency and subjective sleep duration
  - Priapism risk not dose related
- Nefazodone
- Mirtazepine

Walsh JK et al. Hum Psychopharmacol 1998;13:191-198

## Olanzapine as a Sleeping Pill

- Structurally similar to benzodiazepines
- Subjectively sedating
- Sleep effects:
  - Increased SWS
  - Increased Stage 2
  - Increased sleep quality
  - Decreased wakefulness
  - Decreased Stage 1
  - Decreased REM

Sharpley AL et al. Biol Psychiatry 2000;47:468-470; Sain-Pascual RJ et al. Biol Psychiatry 1999;46:141-143

## Anticonvulsants as Sleeping Pills

- Tiagabine (Gabatril)
  - Selective GABA reuptake inhibitor
  - Acts selectively at GAT-1 transporter
  - $T_{\max} = 45 \text{ min}$ ,  $T_{1/2} = 7-9 \text{ hours}$
  - Improves sleep efficiency
  - Modest increase in Stage 3/4
- Gabapentin (Neurontin)
  - Alpha-2-delta ligand
- Pregabalin (Lyrica)
  - Alpha-2-delta ligand
  - Improves sleep latency, efficiency and time
  - Increases Stage 3/4 sleep
- Valproate (Depakote)
  - Improves sleep in manic and anxious patients

## Prazosin

- Alpha-2 agonist antihypertensive
- Very sedating
- Reduces nightmares and insomnia in PTSD

## Gamma Hydroxybutyrate (GHB, Xyrem)

- Aqueous solution - variable concentration
- Rapid onset, short half-life (20 minutes)
- Causes relaxation, disinhibition, euphoria
- Dependence and withdrawal significant risks
- Narrow therapeutic window
  - Dizziness, nausea, emesis, decreased respiration, coma
  - Additive with ETOH and other sedative-hypnotics
- Risks generally outweigh benefits

## AASM Hypnotic Recommendations

Drug	Initial Insomnia	Sleep Maintenance	Not Recommended
Diphenhydramine			X
Doxepin		X	
Eszopiclone	X	X	
L-tryptophan			X
Melatonin			X
Ramelteon	X		
Suvorexant		X	
Temazepam	X	X	
Tiagabine			X
Trazodone			X
Triazolam	X		
Valerian			X
Zaleplon	X		
Zolpidem	X	X	

## Placebo Effects on Sleep

- Self-ratings and PSG in 10 subjects at baseline and after two lactose pills
  - Patients told they were getting sleeping pills
- Placebo nights rated as more restful, with decreased awakenings compared to baseline
- PSG after placebo showed
  - Decreased wakefulness
  - Increased delta power during NREM sleep
  - Decreased beta during REM sleep
  - Improved morning functioning

Fratello F et al. Psychopharmacology 2005;181:761-770

## Concerns about Long-Term Hypnotic Use

- Primary causes may be overlooked and untreated
- Chronic efficacy not supported by research
  - Longest study 6 months
- Potential for tolerance, accumulating psychomotor impairment, covert dependency
- Need for chronic use may be related to interdose rebound insomnia
- Encouragement of belief that solution to one's problems is outside oneself

## Legal and Ethical Issues

- Informed consent requires informing patients about all proven therapies
  - CBT generally superior to hypnotics
- Society is stressful
  - Patients and society are not strengthened by omitting opportunities to learn to deal with stress
- People want to solve all problems with a pill
  - Sleeping well is a right
- Prescriber may have significant liability exposure despite reassurances from manufacturer
  - MVAs
  - Amnesia/automatic behavior
  - Drug dependence
- Clinicians are more likely to be sued for prescribing a sleeping pill that causes harm than for not prescribing it

## Considerations Before Prescribing a Sleeping Pill

- Assess substance use carefully
- Inform patient about alternatives
- Sleeping pills still most appropriate for
  - Acute insomnia in response to
    - Stress
    - Acute illness
    - Hospitalization
      - Ask if patient wants a hypnotic
  - Short-term treatment of insomnia in depressed or anxious patients until antidepressant or anxiolytic effect begins
- Recommend behavioral treatments
- Chronic hypnotic treatment only if
  - Treatment of primary disorder is appropriate
  - Other measures have failed
  - The medication helps
  - Careful risk/benefit analysis has been performed

## Meta Analysis of Behavior Therapy of Insomnia

- Meta analysis of 23 RCTs
- 3 modalities studied
  - CBT
  - Relaxation
  - Behavior therapy
- Equivalent benefit for all treatments on
  - Sleep quality
  - Sleep latency
  - Nighttime awakenings
- Similar benefit in older and younger patients

Irwin MR et al: Health Psychology 2006;25:3-14

## Components of CBT for Insomnia

- Sleep hygiene
  - Correct environmental factors, exercise, alcohol use, diet
- Sleep restriction
  - Only in bed when asleep
  - Strict bedtime and waking schedule
- Stimulus control
  - Break association between being in bedroom and stimuli that promote arousal
    - Most activities except sleep
- Cognitive therapy
  - Address global assumptions and negative expectation about sleep
    - If I don't sleep well tonight, I'll never sleep again
- Progressive relaxation
  - Practice with recording every day
- Relapse prevention

## CBT Effectiveness

- CBT benefits 70-80% of middle aged adults with insomnia
- CBT more effective than sleep hygiene alone
- CBT equal to temazepam acutely and superior long-term in geriatric primary insomnia
- Group CBT with telephone followup as effective as individual CBT
- RCTs show sustained improvement of insomnia in patients with chronic pain, arthritis, coronary artery disease, pulmonary disease, fibromyalgia, Alzheimer's disease, alcoholism, PTSD, depression, anxiety
- American Academy of Sleep Medicine recommends CBT as first line treatment for insomnia
  - Including secondary insomnia

Silverstein B et al: JAMA 2006;295:2851-8; Stepanski and Rybarczyk: Sleep Medicine Reviews 2006;10:7-19; Edinger et al: Arch Intern Med 2005;165:2527-35

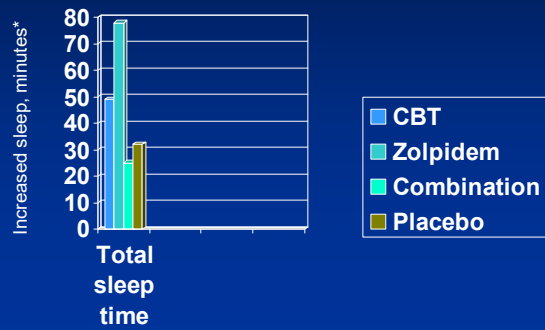
## CBT vs Zolpidem

- 63 patients (54 completers) age 45-49 with sleep-onset insomnia randomly assigned to 8 weeks of
  - Zolpidem 10 mg/night for 4 weeks, 5 mg/night for 1 week, then 5 mg every other night for 1 week
  - CBT 3 individual sessions/week for 3 weeks, 1 individual session 2 weeks later, then 1 telephone session 2 weeks after last individual session
  - Zolpidem + CBT
  - Pill placebo + nonspecific management
- Outcome measured by sleep diaries and sleep lab
  - Diaries always overestimated improvement

Slide 1

Jacobs GD et al: Arch Intern Med 2004;164:1888-96

## CBT vs Zolpidem

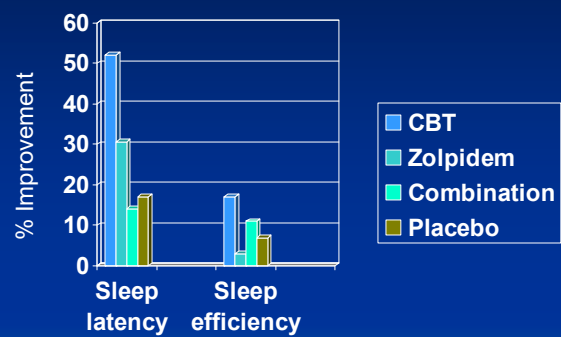


\*Differences not statistically significant

Slide 2

Jacobs GD et al: Arch Intern Med 2004;164:1888-96

## CBT vs Zolpidem



Slide 3

Jacobs GD et al: Arch Intern Med 2004;164:1888-96

## CBT vs Zopiclone in Older Insomniacs

- Older adults (N=48; mean age 60) randomized to CBT (6 sessions), zopiclone or placebo
  - 7.5 mg zopiclone=3.75 mg eszopiclone (active enantiomer)
    - Zopiclone 45% of hypnotic sales in Norway
  - 6 month study
- 6-week effects
  - Total wake time reduction: CBT>zopiclone=placebo
  - Total sleep time: no significant change with any treatment
  - Sleep efficiency improvement: CBT>placebo; CBT=zopiclone, but zopiclone=placebo
  - Increase in slow wave sleep: CBT>zopiclone and placebo
    - Slow wave sleep decreased with zopiclone (p=0.01)

Silverstein B et al. JAMA 2006;295:2851-8

## 6-Month Effect Sizes

	Time awake	Total sleep time	Sleep efficiency	Slow wave sleep
<b>CBT</b>	1.7	-0.1	1.2	0.7
<b>Zopiclone</b>	0.2	-0.9	0	-0.5

Silverstein et al 2006

## Sleep Healthy Using the Internet (SHUTi)

- Automated self-administered version of CBT for insomnia (CBTi)
- 330 subjects recruited over internet
  - Self-reported insomnia
    - >30 minutes sleep latency or WASO
    - Total sleep time  $\leq$  6.5 hours
    - Distress or impairment
- 6-week random assignment to SHUTi or automated sleep education program
  - “Single-blind” but easy to guess which program you had

Slide 1

LM Ritterband et al: JAMA Psychiatry doi:10.1001/jamapsychiatry.2016.3249

## Sleep Healthy Using the Internet (SHUTi)

- SHUTi effect sizes
  - Post-treatment: 0.79-1.90
  - 1-year
    - Insomnia severity: 2.32
    - Sleep latency: 0.95
    - WASO: 1.41
- Control effect sizes
  - Post-treatment 0.37-0.77
  - 1-year
    - Insomnia severity: 1.53
    - Sleep latency: 0.64
    - WASO: 0.86

Slide 2

LM Ritterband et al: JAMA Psychiatry doi:10.1001/jamapsychiatry.2016.3249

## Sleep Healthy Using the Internet (SHUTi)

- Insomnia remission based on severity measure
  - SHUTi
    - Post-treatment: 41%
    - 1-year: 57%
  - Control
    - Post-treatment: 11%
    - 1-year: 27%
- Caveats
  - No direct assessment
    - All measures self-rated
  - All subjects came from internet
    - White middle class
    - Experienced with internet
  - No sleep-lab correlation
  - No objective measures of daytime sleepiness/impairment

Slide 3

LM Ritterband et al: JAMA Psychiatry doi:10.1001/jamapsychiatry.2016.3249

## Digital CBT for Insomnia in Pregnancy

- 208 pregnant women with self-reported insomnia
  - Mean age 34
  - Mean gestation 18 weeks
- Random assignment to dCBT-I (Sleepio) or usual care
  - 6 sessions on website or iPhone
  - Animated therapist
  - Reminders to go to the next session
  - Components: sleep restriction, stimulus control, cognitive therapy, relaxation techniques, education, sleep hygiene

Slide 1

JN Felder: JAMA Psychiatry 10.1001/jamapsychiatry.2019.4491

## Digital CBT for Insomnia in Pregnancy

- dCBTi > usual care for
  - Insomnia severity (ES=1.03)
  - Remission of insomnia (44% vs 22%)
  - Improvement of symptoms of anxiety and depression symptoms
    - Patients did not have comorbid mood or anxiety disorder
- Improvement maintained 2 months after treatment ended
- Many more patients screened than treated
  - Most rejected because insomnia not severe enough
- No direct contact with or observations of patients

Slide 2

JN Felder: JAMA Psychiatry 10.1001/jamapsychiatry.2019.4491

## Intensive Sleep Retraining

- Restrict sleep to 5 hours on night before treatment
  - Increases homeostatic sleep drive
- Series of 50 half-hourly sleep-onset opportunities in sleep lab
- Try to sleep
  - If no sleep in 20 minutes, get out of bed
  - If sleep occurs, awake after 3 minutes
    - Patient compares perception of sleep to PSG data
    - Remain quiet in a chair until next sleep session
- One night of recovery sleep at home
- Combined with sleep hygiene

Slide 1

J Harris et al: Sleep 2012;35:49

## Intensive Sleep Retraining

- 25-hour protocol
- Study of 79 patients assigned to ISR, Stimulus Control Therapy, ISR+SCT or sleep hygiene only
  - Improvement after one treatment session
  - Maintained after 6 months
  - ISR+SCT had largest effect size
    - 1.26-2.20 for perception of sleep and dysfunctional attitudes
    - 0.79-1.34 for sleep onset, sleep efficiency, total sleep time, WASO

Slide 2

J Harris et al: Sleep 2012;35:49

## Artificial Bright Light

- Best cue to time of falling asleep is exposure to bright light at time of awakening
- Especially useful for sleep phase delay
  - Consider for patients who cannot fall asleep at desired hour and feel sleepy or impaired in the morning
- Reduces night time confusion and wandering in demented patients

## Prevention of Jet Lag

- Go to sleep at time corresponding to bedtime at destination
  - Short acting hypnotic helpful but not definitive
  - If previous jet lag and traveling east, skip dinner
- Wake up at time corresponding to time of awakening at destination
- Go outside or use artificial bright light after waking
- Do not go to sleep on first day at destination until appropriate bedtime

## Behavior Therapy for Insomnia in Alzheimer's disease

- NITE-AD: Nighttime treatment and education for Alzheimer's disease
  - 6 weekly sessions
  - Develop individualized sleep hygiene program
    - No naps after 1 PM
    - Regular times of going to bed and waking up
  - Instructions to walk for 30 minutes/day
    - Accompanied by caretaker
    - Outside in daylight if possible
  - Increase daily exposure to light
    - Decrease light at night
  - Address problems with treatment adherence (e.g., pets in bedroom, noise at night, etc)
- Compared to nonspecific advice, NITE-AD had significantly
  - Fewer nighttime awakenings
  - Less total time awake
  - Less depression
  - More daytime exercise
  - Lower ratings of daytime sleepiness
  - Gains maintained at 6 months follow-up

McCurry SM et al. J Am Geriatr Soc 2005;53:793-802

## Limitations of Behavioral Treatments

- Time and labor intensive
  - Group and automated formats reduce therapist time
- True expertise and protocol adherence uncommon
- Patients expect doctors to prescribe
  - Doctors expect themselves to prescribe
    - “Brain based” psychiatry
  - Nothing else seems like a real treatment
- Discussing treatment takes longer than a “med check”
- No payment for evidence based behavioral therapies

## What if CBT is Not Available?

- Advise no caffeine after noon
  - Even decaf contains enough caffeine to interfere with sleep
- No alcohol at bedtime
  - Initial sedation balanced by long-term disruption of sleep architecture
  - Withdrawal in middle of night causes arousal
- Stop smoking if possible
- Review sleep hygiene in detail
  - Consider written instructions
  - Keep a log of times in bed
  - Start by decreasing time in bed below usual time asleep
  - Only use bed for sleep
  - Get out of bed for 20-30 minutes if awake for 20-30 minutes
  - Stick to strict time of going to bed and waking up
    - Wake up at same time each morning even if no sleep last night
    - Keep same hours weekends as week days

Slide 1

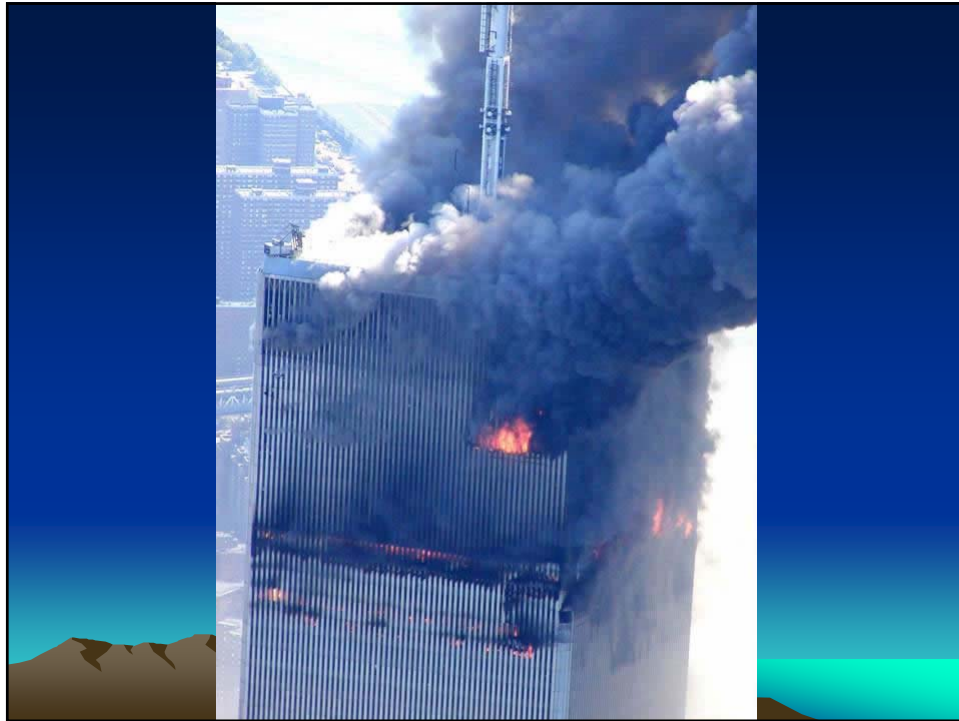
## What if CBT is Not Available?

- Go outside or use artificial bright light in morning
- Ask patient to list worst fears about not sleeping
  - Then ask patient to think of possible counter arguments (e.g., “the worst that will happen if I don’t sleep is that I’ll feel tired”)
- Have patient buy a relaxation tape
- Inform patient that sleep fluctuates normally
  - A decent night’s sleep is usually no more than 2 or 3 days away
- Consider having patient sleep in a different bed for a while
- Refer patient to a self-help manual or SHUTi

Slide 2

PTSD







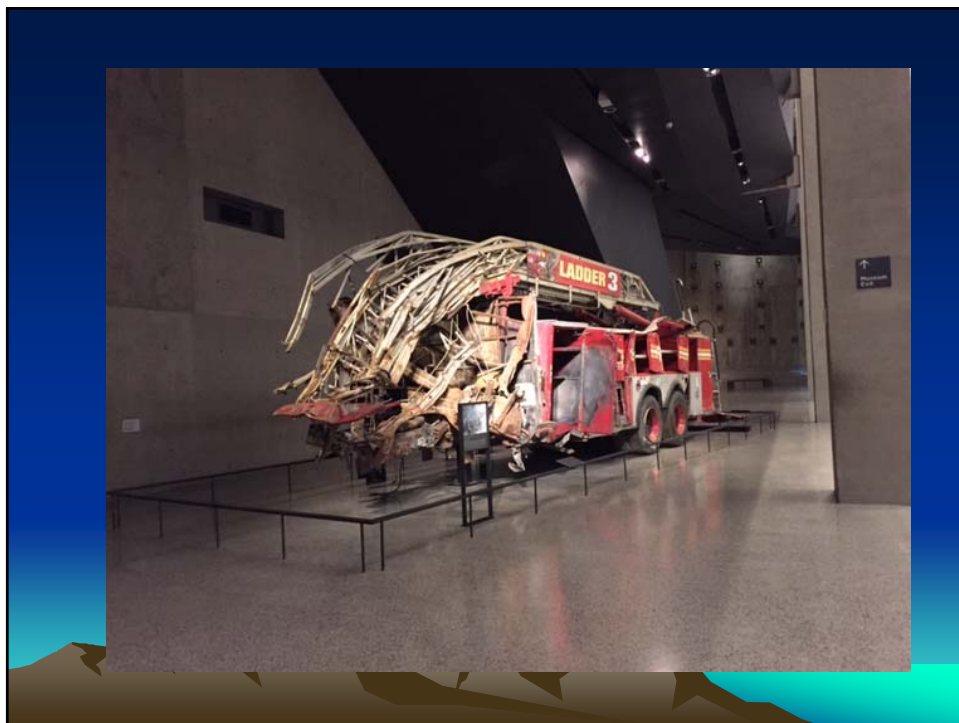












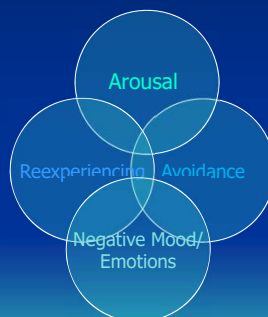


## History of PTSD

- Civil War: Soldier's heart/irritable heart (DaCosta)
- WWI: Shell shock
- WWII: Battle fatigue
- DSM I (1952): Gross stress reaction
- DSM II (1968): No diagnosis
- DSM III, DSMIV, DSM5: PTSD

## Features of PTSD

### Life Threatening Stress



# PTSD

- Extreme stress
  - Experiencing, witnessing
  - Learning of a violent trauma to loved one
  - Repeated or extreme exposure to aversive details of event
    - Traumatic remains, child abuse
- Reexperiencing
  - Memories
  - Dreams
  - Flashbacks
  - Intense reactions to reminders

Slide 1

DSM5

# PTSD

- Emotional numbing/avoidance
  - Avoidance of thoughts/memories
  - Avoidance of places or situations
- Hyperarousal
  - Hypervigilance
  - Irritability
  - Startling
  - Disturbed sleep
- Negative mood and thoughts
  - Blocking out memories
  - Self-blame
  - Negative view of the world
  - Detachment
  - Lack of enjoyment
  - Sense of foreshortened future

Slide 2

## Problems with DSM-5 Criteria

- Requires avoidance
  - Many soldiers and first responders suppress avoidance
  - Avoidance more related to intrusive recall than a separate category
    - The more you try to suppress thoughts, the more intrusive they become
- Subsyndromal PTSD relegated to adjustment disorder
- Previous research used DSM-III-R and DSM-IV criteria
  - Not necessarily translatable to DSM-5

CS Hoge: JAMA Psychiatry 2015; published online 7/22/15

## Risk Factors for PTSD

- Childhood trauma
- Family members with PTSD
- Poor premorbid functioning
- Family or past history of anxiety or mood disorder
- Low social support at time of trauma
- Lower intelligence
- Neurological impairment
- Stressful life events in preceding and following year
- Dissociation at time of trauma
- Resting tachycardia
- Decreased cortisol after trauma

## Types of Trauma and PTSD

- WHO survey of 34,676 people from 20 countries
- Highest risk of PTSD with exposure to interpersonal violence
  - Kidnapped
  - Sexual violence
  - Witnessing atrocities
- Lower risk of PTSD with being civilian in
  - War zone
  - Terrorism zone
  - Natural disaster
  - Mainly explained by low risk of PTSD in those who moved to a better location and by recall of remote WWII experiences by well elderly adults
- Being in situations that promote mastery (e.g., military, first responder, even perpetrator) reduce risk of PTSD

H Liu et al: JAMA Psychiatry doi:10.1001/jamapsychiatry.2016.3783

## PTSD and Dissociation

- Dissociation
  - Depersonalization
  - Derealization
- 14% of PTSD patients
- Associated with
  - Early onset
  - More prior trauma
    - Nature of trauma not specific for dissociation
  - More childhood adversity
- Outcome
  - More impairment
  - More suicidality
  - More severe symptoms
  - Harder to treat
    - Over-modulation of emotion may prevent habituation and fear extinction

DJ Stein et al: Biol Psychiatry 2013;73:302-312

## Acute Stress Disorder

- Similar to PTSD in trauma and symptoms
- Develops right after the trauma
  - Always within one month
- Prominent dissociative symptoms may be present
- Lasts 3 days-4 weeks

## Evolution of PTSD

- 1 year prospective study of 1138 patients in ED after traumatic injury
  - MVA, assault, work injury, other
  - 852 patients completed 1-year study
  - 490 had mild TBI
  - 82 had PTSD at 12 months
- Patients interviewed a week after injury and 1 year later
- Early network of symptoms related to fear conditioning and over-consolidation of fear memories
  - Re-experiencing, intrusive memories, avoidance of reminders, flashbacks, nightmares
  - Emotional numbing and social withdrawal a separate group of symptoms
  - Amnesia not linked to other symptoms

Slide 1

RA Bryant: JAMA Psychiatry doi:10.1001/jamapsychiatry.2016.3470

## Evolution of PTSD

- After 1 year
  - Fear conditioning symptoms more closely linked
    - Startle response and hypervigilance added
  - Second strong constellation of dysphoric symptoms developed
    - Irritability, disturbed sleep, numbing, loss of interest, difficulty concentrating, sense of foreshortened future, amnesia
- Implications
  - Early treatment of fear conditioning may prevent later evolution of PTSD and advent of dysphoric dimension
  - Amnesia difficult to assess because of number of head injuries
    - However, not being able to remember past accurately interferes with planning for or conceiving of the future
  - Symptoms are not manifestations of psychiatric disorders: they ARE the disorders
  - Treatment addresses symptom clusters and their pathophysiology (e.g., fear conditioning), not the pathophysiology of the entire disorder

Slide 2

RA Bryant: JAMA Psychiatry doi:10.1001/jamapsychiatry.2016.3470

## PTSD Epidemiology

- 50-85% of adults have had trauma exposure
  - 25% of those develop PTSD
  - 30-50% of traumatized children
  - 30% of disaster victims
  - 2-32% of accident victims
  - 27% of TBI patients
- 10-13% of middle east and Vietnam war veterans
- 10% of women and 5% of men
  - Lifetime prevalence of PTSD 7% overall
- Higher prevalence of PTSD symptoms without formal diagnosis
- 2/3 recover
  - 1/3 have chronic PTSD

## PTSD 40 Years After Vietnam War

- National Vietnam Veterans Longitudinal Study
  - 1238 combat and non-combat veterans
  - 400 combat veterans had diagnostic interviews in addition to questionnaires
- Current/lifetime PTSD prevalence (DSM-5 + subthreshold)
  - Combat veterans
    - Men: 10.8%/26.2%
    - Women: 8.7%/25.7%

Slide 1

CR Marmer et al: JAMA Psychiatry doi:10.1001/jamapsychiatry.2019.0803

## PTSD 40 Years After Vietnam War

- Current PTSD prevalence (DSM-5 + subthreshold)
  - Non-combat Vietnam-era veterans
    - Training accidents, civilian trauma
    - Men: 6.9%
    - Women: 7.8%
- About 25% had comorbid MDD
- Substance abuse not common
- 16% had clinically important increased symptoms over time
  - 7.8% had decreased symptoms
- 20% of representative sample died before study

Slide 2

CR Marmer et al: JAMA Psychiatry doi:10.1001/jamapsychiatry.2019.0803

## PTSD After 9/11

- NYC population: 7-11%
- NYC school children: 10%
- Rescue workers:
  - Police: 6.2%
  - Volunteers: 21.2%
  - Overall: 12.4%
  - Higher prevalence in people who performed tasks not part of their profession
- Risk factors:
  - Prior trauma
  - Less disaster training
  - Volunteer
  - More time on site
- Significant stress symptoms: 44% of U.S. population

Slide 1

Perrin et al: Am J Psychiatry 2007;164:1385

## PTSD after 9/11 (cont)

- Dose response curve for PTSD
  - Those closer to the event had more PTSD
  - Those who watched more TV had more PTSD
  - Debriefing team developed PTSD
- Most people considered this a personal attack
  - PTSD occurred in people distant from the event
  - National feelings of helplessness and overstimulation
  - People could not disconnect themselves from the attack
- Problems in addition to PTSD:
  - Grief
  - Survivor guilt
  - Shame at strong emotions
  - Relapse of substance abuse
  - Problems in relationships
- 87% of people had good functioning before the attack
- 55-68% returned to baseline functioning after the attack

Slide 2

Jackson et al: Psychiatric Services 2006;57:1283; Katz et al: Psychiatric Services 2006;57:1335

## Comorbidity

- Mood disorders
  - Depression can be precipitated separately from PTSD following traumatic events
  - 50% of PTSD patients
- OCD
- Panic disorder and phobias in women
- Substance abuse
- Increased risk of Alzheimer's disease with aging
  - Could be common predisposing factors or impact of HPA axis changes on A $\beta$

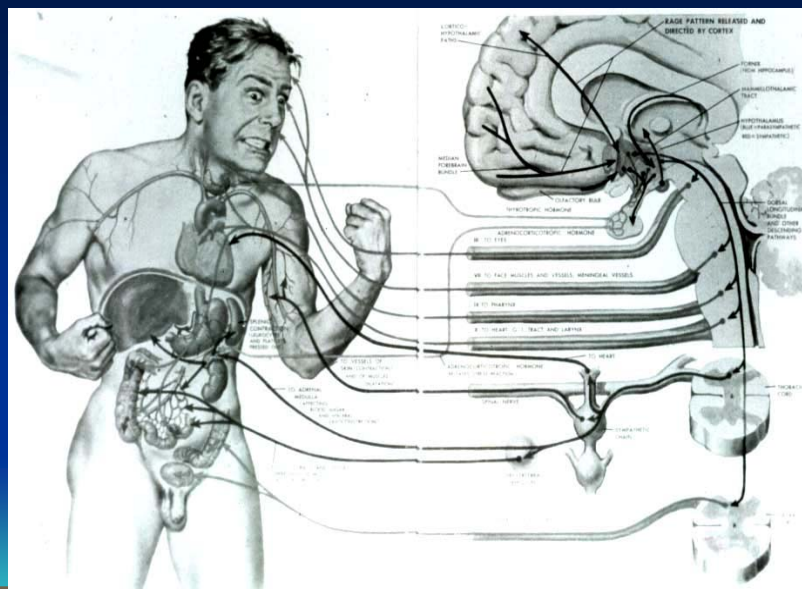
## Psychotic PTSD

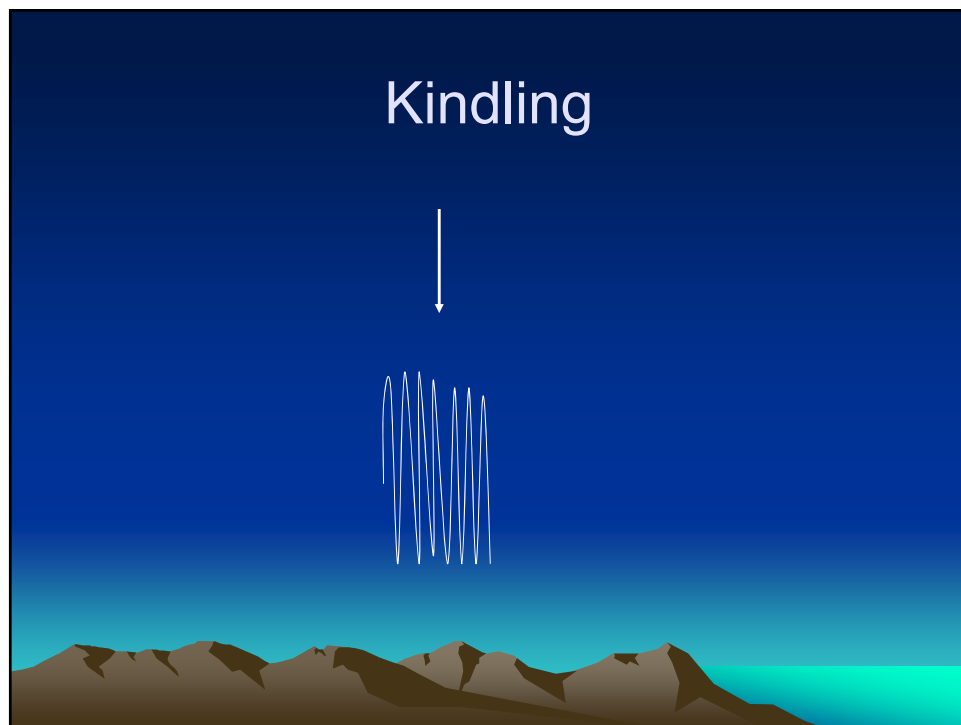
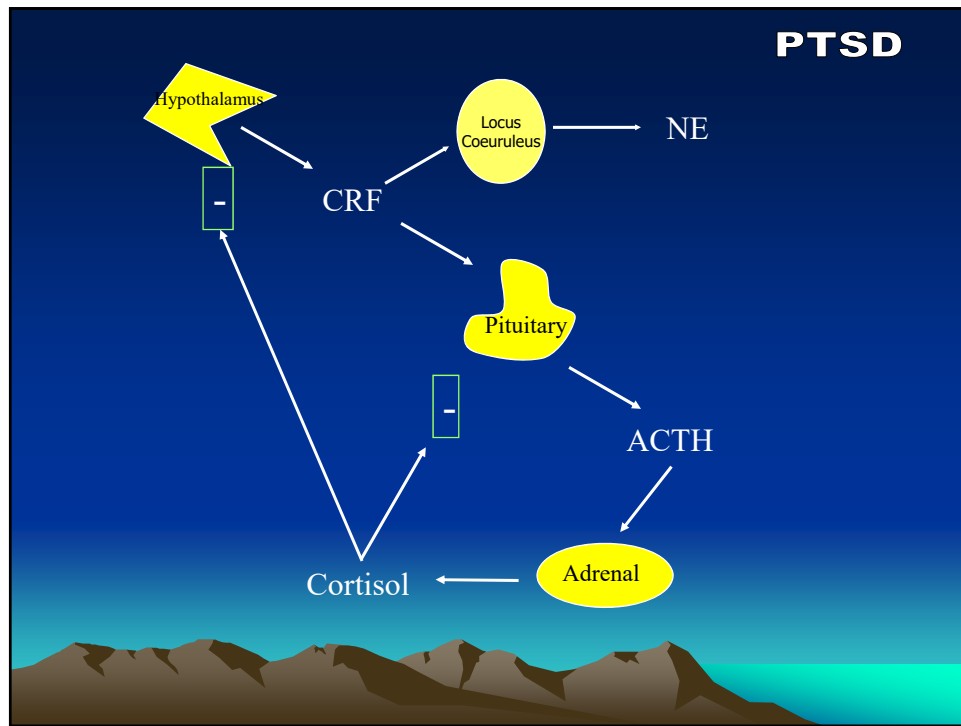
- Study of 45 combat veterans with combat related PTSD
  - 22 had psychotic features
- Severity of PTSD correlated with severity of psychosis
- Severity of psychosis did not correlate with severity of re-experiencing
  - Therefore not a re-experiencing symptom
  - Some symptoms were trauma congruent, some were not
- Psychosis occurred whether or not depression was present
  - Psychosis more severe if comorbid MDD
- Not known if antipsychotic drugs are necessary in psychotic PTSD

MB Hammer et al: Biol Psychiatry Biol Psychiatry 1999;45:846

# Pathophysiology of PTSD

- Increased norepinephrine and epinephrine release
- Increased release of endogenous opioids
  - Elevated stimulus threshold
  - Analgesia
- ?dysregulated serotonin mediation of impulsivity and irritability
- Hyperactive stress response with burnout of stress hormones
  - Increased CRF
  - Blunted ACTH response to CRF
  - Decreased urinary cortisol
  - Increased dexamethasone suppression
  - Inability to muster appropriate response to daily stress
- Kindling and limbic sensitization
- Over-consolidation of fear memories





## Gene-Environment Interaction in PTSD

- COMT
  - Breaks down catecholamines
    - Norepinephrine, epinephrine, dopamine
  - Methionine (MET) instead of valine (VAL) at codon 158 of COMT gene decreases enzyme activity
    - More arousal in response to stress
- Study of 424 refugees from Rwanda genocide
  - Average of 13 different traumatic events per person
  - VAL/VAL and VAL/MET genotypes:
    - Increased traumatic load resulted in greater likelihood of PTSD
  - MET/MET genotype (lowest enzyme activity): High risk of PTSD regardless of traumatic load
  - More than 15 traumatic events: most people got PTSD regardless of genotype
- Implications:
  - Increased arousal predisposes to PTSD
  - Not everyone who is predisposed gets PTSD
  - Enough trauma will cause PTSD in anyone

Slide 1

Kolassa LT et al. *Biol Psychiatry* 2010;67:304-308

## Gene Environment Interactions in PTSD

- Study of 810 Ohio National Guard members
- Gene for  $\beta 2$  norepinephrine receptor
  - Greater activity + childhood adversity
    - Increased risk of PTSD
  - Low efficiency allele
    - Decreased sympathetic nervous system response to stress
    - Increased resilience
    - Resistance to PTSD
- Less responsiveness to stress conveys lower risk of PTSD

Slide 2

J Liberzon. *JAMA Psychiatry* 2014;71:1174-1182

## Animal Studies of Stress Response Epigenetics

- Infants of mothers that groom a lot have
  - Smaller HPA axis response to stress
    - Less ACTH/corticosterone release
    - Better adaptation to stress
  - Increased grooming when they grow up
- Low grooming animals have more methylation of glucocorticoid receptor gene promoter
  - Decreases HPA axis modulation and increases stress response
- Cross fostering low groomed infants to high grooming mothers decreases methylation and moderates stress response
  - As adults, more grooming of their infants
- Implications: Genetic risk reduced by safe upbringing

SE Hyman: Nature Neuroscience 2009;12:241-243

## Human Study of Stress Response Epigenetics

- Methylation of glucocorticoid receptor gene in hippocampus found in people with early trauma
- Results in decreased feedback inhibition of stress hormone release
- Leads to
  - Excessive response to all stresses
  - Decreased adaptation
  - Vulnerability to depression
  - Later burnout of HPA axis with PTSD
- Implication: Early trauma sensitizes the stress response system to later trauma

PO McGowan et al: Nature Neuroscience 2009;12:342-348

## Epigenetics in Holocaust Families

- FKBP5 is a protein that regulates the glucocorticoid receptor (GR)
  - Decreases cortisol binding to GR
    - Increases stress response
- FKBP5 expression altered in PTSD and MDD
  - One site on FKBP5 (intron 7) is in region that alters GR response and influences FKBP5 transcription start site
    - Demethylated by cortisol and early trauma
- Study of 32 Holocaust survivors, 22 adult offspring and control parents and offspring
  - 52% had PTSD, 14% anxiety disorder other than PTSD, 31% mood disorder

Slide 1

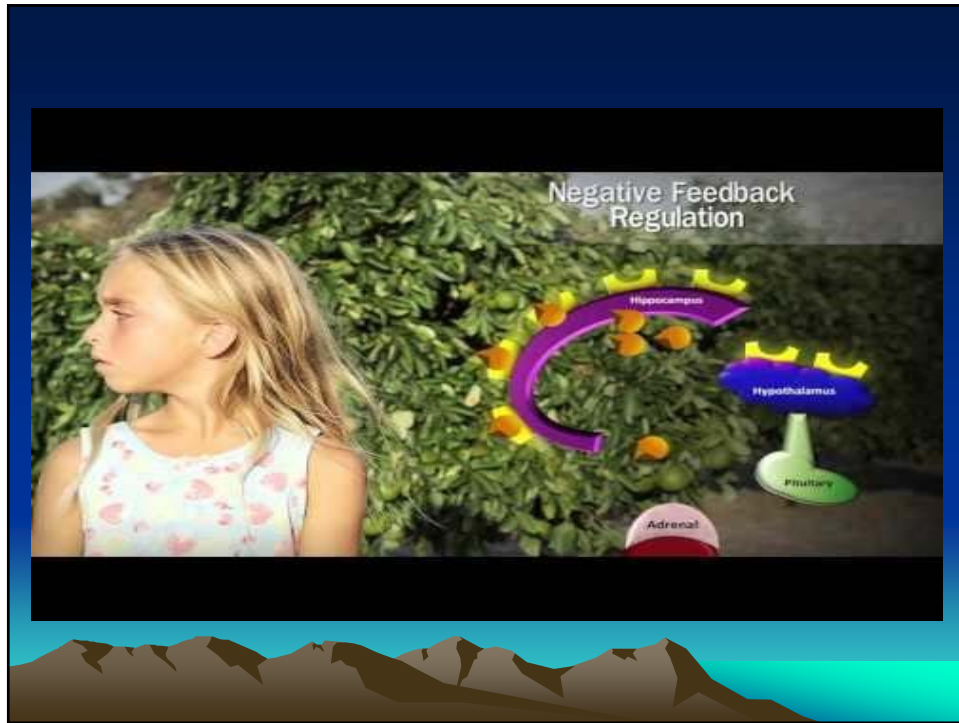
R Yehuda et al: Biol Psychiatry 2016 doi:http://diagnosis.doi.org/10.1016/j.biopsych.2015.08.005

## Epigenetics in Holocaust Families

- Parental Holocaust exposure predicted intron 7 methylation changes in parents and offspring, but in opposite directions
  - More methylation in Holocaust survivors
    - Less methylation in offspring
  - Not explained by early abuse of offspring
    - Physical abuse produced methylation at a different site
  - Less methylation associated with higher morning cortisol levels
    - Therefore offspring had more reactive cortisol stress response
    - Could create hyperactive HPA response to stress

Slide 2

R Yehuda et al: Biol Psychiatry 2016 doi:http://diagnosis.doi.org/10.1016/j.biopsych.2015.08.005



<https://www.youtube.com/embed/3ZChSSw95Tg>

# Treatment Principles

- There is no definitive single treatment for PTSD
  - Multi-modal therapy aimed at different symptom networks
- Reduction of arousal necessary
- Medications alone are not sufficient
- Override fear conditioning
- Early re-exposure to traumatic situation with appropriate support can reduce symptoms
  - Reintegration with supports
  - Situation must be redefined as safe
  - Simply reliving stress aggravates distress
- Later reliving without mastery is often harmful
- Prolonged exposure most effective
- Mastery and control are crucial
- Adjunctive medications most useful after exposure sessions

# Pharmacotherapy

- Beta blockers (e.g., propranolol)
  - Right after or during stress
  - During exposure therapy
- SSRIs
  - First line medication
  - Reduce arousal and intrusive thought and behavior
  - Facilitate fear extinction
  - Placebo controlled studies for sertraline, fluoxetine
  - Open studies for paroxetine, fluvoxamine
- MAO inhibitors
  - Older studies show efficacy, especially for arousal and intrusive recall
  - Potent REM suppression reduces nightmares
- Prazosin
  - Nightmares, insomnia, and arousal
  - Restores normal REM sleep for better processing of traumatic memories and reduces stage 2 traumatic nightmares

Slide 1

## Pharmacotherapy

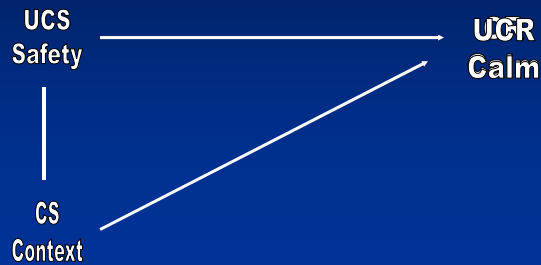
- Carbamazepine
  - Anti-kindling
  - Decreases anger, impulsivity, mood swings, recurrence
- Benzodiazepines
  - Can be helpful for arousal and insomnia
- Hydrocortisone
  - May enhance extinction when combined with fear reactivation
- Opioids (e.g., morphine)
  - Block fear conditioning
    - May be useful following trauma
  - Opioid signaling enhances fear extinction
- Clonidine
  - Open trials- helpful for arousal
  - Difficult to tolerate and to withdraw
- D-cycloserine
  - May facilitate prolonged exposure in people who do not respond to first few sessions
  - Better results with more severe PTSD
- THC, cannabinoids
  - Improve insomnia, nightmares, anxiety
  - May impair fear acquisition and enhance extinction

Slide 2

## Fear Conditioning



## Fear Extinction



## Propranolol Treatment of Fear Conditioning

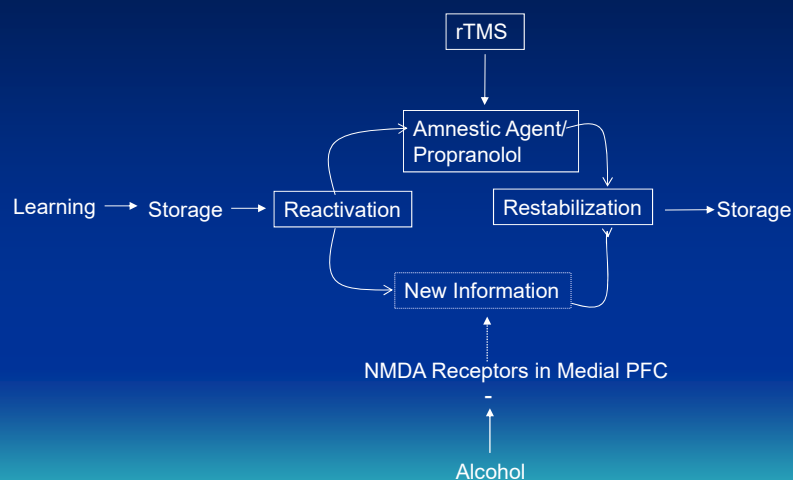
- Fear conditioning protocol
  - Measure startle response to loud noise
  - Fear acquisition: pair frightening stimulus (spiders) or neutral stimulus with noise
  - Memory reactivation: repeat association with or without 40 mg propranolol
  - Extinction/retesting
- Effect of propranolol during memory reactivation
  - No effect on acquisition of startle response or memory of association
  - Eliminated actual startle response after reactivation
- Conclusions
  - Fear conditioning can be reactivated into unstable state and then prevented from being consolidated again
  - Propranolol may decrease amygdala input into laying reactivated affective memory down as protein

M Kindt et al: Nature Neuroscience 2009,12:256-259

## rTMS Promotes Fear Extinction

- Fear conditioning established by pairing light with finger shock in normal subjects
- Fear extinction by pairing light with no shock
  - rTMS over left posterior prefrontal cortex but not a nearby area when light presented enhanced extinction compared with no rTMS
- rTMS may alter amygdala output during fear rekindling to enhance extinction
- Could be adjunctive treatment during exposure therapy

## Post Hoc Extinction Paradigm



©Kamiboj, RK Das: JAMA Psychiatry 2/1/17

## Psychotherapy

- Early re-exposure to traumatic situation can reduce symptoms
- Memory of fear conditioning can be overwritten when it is reactivated
  - Effective therapies reactivate fear memory and block reconsolidation
- Later reliving without fear extinction increases symptoms
- Provide safety but emphasize mastery
  - Model mugging
  - Increasing activity
- Reduce arousal
  - Learning is state dependent

## Critical Incident Stress Debriefing

- Group approach
- 7 stages in one session
  - Introduction
  - Describe event
  - Describe what one thought after the event
  - Describe what one felt after the event
  - Describe specific symptoms such as anger or tremor
  - Education about stress management
  - Answer questions and end on positive note
- No evidence of efficacy
- May make symptoms worse
  - Reliving without resolution
  - No time or opportunity for processing of experience
  - Arousal and helplessness without mastery
  - No attempt to re-immersion in vivo with victim feeling safe
  - Increased guilt and shame at not recovering
- Practice guidelines recommend against routine use of CISD

Sijbrandij et al: Br J Psychiatry 2006;189:150

## Crisis Counseling

- Most stress reactions are time-limited, normal responses rather than PTSD
- Brief counseling initial intervention
- Multiple sessions
- Education
- Mobilize supports
- Screen for PTSD
- Refer for persistent distress or complex symptoms

## Evidence Based Psychotherapies

- Cognitive behavior treatments that attend to
  - Details of trauma
  - Associated emotions
  - Cognitions
- Trauma based therapies
  - Cognitive processing therapy
  - Prolonged exposure
  - Eye movement desensitization and reprocessing
- Stress inoculation training not specifically trauma focused

## Cognitive Behavior Therapy (Cognitive Processing Therapy, CPT)

- 12 group or individual sessions
- Manualized
- Identifies, challenges and replaces maladaptive cognitions
- Discussion of treatment rationale
- Relaxation/deep breathing
- Imaginal exposure
- Gradual “in vivo” exposure
- Cognitive restructuring
  - Redefinition of situation
  - Elimination of global catastrophic thinking
- Relapse prevention
- Homework
  - Imaginal followed by in vivo exposure
  - 2 assignments involve writing about traumatic experience
  - Cognitive reprocessing
- Effective in controlled studies
- >TAU in World Trade Center disaster workers

Difede et al. JNMD 2007;195:861

## Controlled Studies of CPT in Combat PTSD

- 5 studies
  - 481 patients
  - 1 study compared group to telemedicine CPT
- Effect size 0.78-1.10
  - Compared with no treatment or TAU
- 2/3 had meaningful symptom improvement
  - Post-treatment symptoms still above clinical threshold
  - Most patients still had PTSD
- Marginally better than non-trauma focused therapies

MM Steenkamp et al. JAMA 2015;314:489-500

## Group vs Individual Cognitive Processing Therapy

- 268 active duty servicemembers with PTSD
  - Random assignment to biweekly CPT for 6 weeks
    - Group (90 minute sessions)
    - Individual (60 minute sessions)
- Assessment at end of treatment and 6 months later showed
  - Individual had twice as much symptom reduction as group (ES 1.2 vs 0.6)
  - No difference in remission of PTSD
    - >51-63% still had PTSD
  - Only 58% completed at least 9/12 sessions
- Conclusions:
  - Individual > group CPT
  - Group still moderately effective
  - Longer and more comprehensive treatment probably necessary for remission

PA Resick et al. JAMA Psychiatry doi:10.1001/jamapsychiatry.2016.2729

## Prolonged Exposure

- Targets trauma memories
- Confront rather than avoid feared memories and stimuli
- Promotes fear extinction
  - Replaces fearful association with safe association
- Repeatedly describe event with therapist
  - Record and then listen to description
  - Imaginal exposure
- In vivo exposure
- Affect management
- Cognitive restructuring
- Desensitization

## Controlled Studies of PE in Combat PTSD

- 4 RCTs
  - 402 patients
- Effect size 0.80-1.80
- 70% had clinically meaningful symptom reduction
- 61% still had PTSD at end of treatment

MM Steenkamp et al: JAMA 2015;314:489-500

## Written Exposure Therapy (WET)

- Five individual sessions
- Patients spend half of each session writing accounts of traumatic events and their reactions
- Written account then reviewed in session
- No homework
- Less therapist training required
- Less work for patient
- Randomized comparison to 12 session individual CPT
  - Equivalent reduction of PTSD symptoms
  - Equivalent remission of PTSD diagnosis (>50%)
  - Significantly fewer dropouts (6% vs 40%)

DM Sloan et al: JAMA Psychiatry doi:10.1001/jamapsychiatry.2017.4249

## EMDR

- Brief sessions of attending to emotionally disturbing material
  - Focus on external stimulus (eye movements) at same time
- Identify sensations associated with image
- Identify aversive cognitions associated with trauma
  - Replace with alternative positive cognition

## Controlled Studies of EMDR in Combat PTSD

- Small samples
- 1-3 sessions
- Poor methodology
- EMDR = similar therapy without eye tracking = non-trauma focused therapy > wait list

MM Steenkamp et al. JAMA 2015;314:489-500

## Head to Head Comparison

- 207 patients with Iraq or Afghanistan combat related PTSD
- Random assignment to
  - PE (13 90-minute sessions) + pill placebo
  - Sertraline (mean dose 171 mg) + enhanced medication management
    - 30 minute medication management sessions
  - PE + sertraline
- 24 week trial

Slide 1

SAM Rauch: JAMA Psychiatry doi:10.1001/jamapsychiatry.2016.3412

## Head to Head Comparison

- Equivalent CAPS score reductions with all treatment groups
  - Sertraline:
    - Initial 75.5
    - Final 41.7 (55% of baseline)
  - PE + placebo
    - Initial 80.9
    - Final 51.5 (64%)
  - PE + sertraline
    - Initial 76.0
    - Final 43.3 (57%)

Slide 2

SAM Rauch: JAMA Psychiatry doi:10.1001/jamapsychiatry.2016.3412

## Head to Head Comparison

- **Conclusions**

- Response of combat PTSD to standard therapies is limited
  - Combined treatment does not seem superior to medication
  - More dropouts with PE
- Civilian PTSD may have different response pattern
- Extended med management sessions could have facilitated patient self-exposure
- We need more data on response of specific PTSD domains to different treatments

Slide 3

SAM Rauch: JAMA Psychiatry doi:10.1001/jamapsychiatry.2016.3412

## Stress Inoculation Training

- Anxiety management skills
  - Relaxation training
  - Breathing retraining
  - Positive self-talk
- Assertiveness training
- Thought stopping
- Cognitive restructuring and exposure optional

## Summary of PTSD Treatment Studies

- CPT and PE have large effect sizes
- 1/3-1/2 of patients do not have meaningful symptom change
- 2/3 still have residual PTSD symptoms
  - Remission uncommon
- Not always more effective than non-trauma focused therapies
- Outcomes not as good in veterans
  - Comorbidity
  - Compensation factors may inhibit response

MM Steenkamp et al: JAMA 2015;314:489-500

## Medication Trials in Juvenile PTSD

- 3 DBPC studies of SSRIs
  - One negative study
  - Limited support for SSRIs as first line pharmacologic treatments in this population
- One controlled IMI study
- Open label studies of
  - Other antidepressants
  - Anti-adrenergics
  - Atypical antipsychotics
- Anti-adrenergics may be promising
  - Clonidine, propranolol for arousal
  - Prazosin for nightmares
  - More data needed
- Benzodiazepines not found to be helpful for PTSD symptoms
- Insufficient data on combined psychotherapy-pharmacotherapy
  - May be no benefit of early combined treatment even if comorbid MDD
  - Begin with CPT and add SSRI if incomplete response

JR Strawn et al: J Clin Psychiatry 2010;71:932; Cochrane Reviews 7: CD007316, 2010; AACAP; Journal of the Am Acad Child Adolesc Psychiatry 2010;49:414

# Project Liberty

- FEMA funding
- Organized by NYS Office of Mental Health
- All services free
- Recipients remained anonymous
- Served 686,848 people
- Public education for another 550,000

Slide 1

# Project Liberty

- Protocol
  - PTSD screening questionnaire
  - 10-12 sessions
  - CBT
    - Recognize post-disaster distress
    - Skills to deal with anxiety and depression
    - Cognitive reframing
  - Help with grief
  - Techniques for dealing with guilt
  - Homework assignments
- Specialized treatment for persistent PTSD and depression
  - Required by 9%

Slide 2

Donahue et al: Psychiatric Services 57:1298, 2006

## President Bush as National Therapist

- Prompt exposure to stress
- Emphasize mastery
- Produce a sense of safety
- Express affect
- Promote attachment and affiliation
- Take action
- Maintain optimism

### PTSD

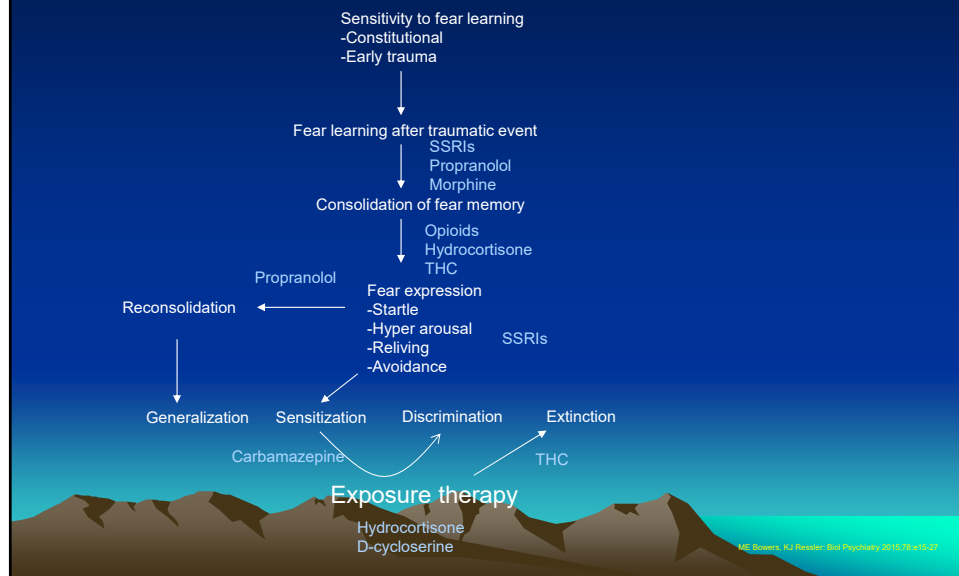
Danger  
Tension  
Excessive arousal  
Helplessness  
Passivity  
Loss of control  
Victimhood

### CURE

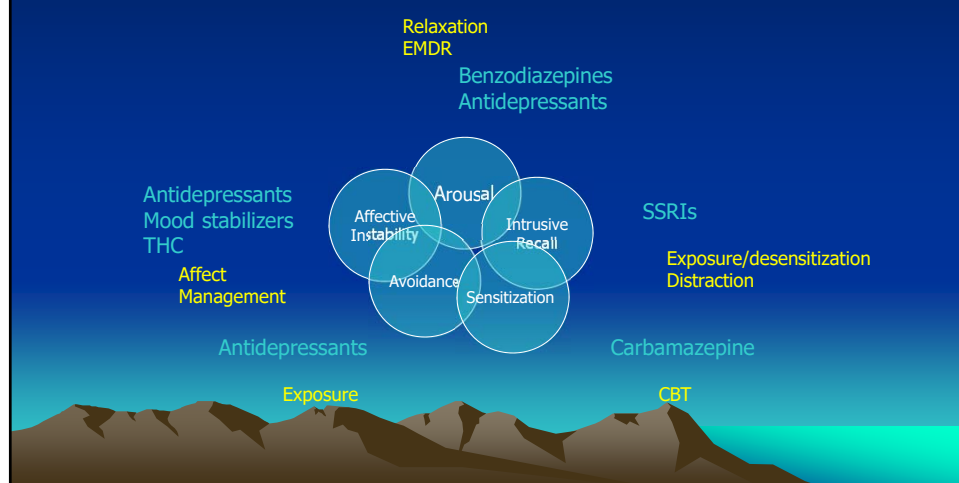
Safety  
Relaxation  
Calm  
Confidence  
Activity  
Mastery  
Active engagement

Safe environment  
Reduce arousal  
Relaxation training  
Exposure  
Encourage active engagement  
Competence skills  
Move out of victim identity  
Overcome; don't just survive

## Interventions and Course of PTSD



## Targeting PTSD Dimensions



# What to Tell the Media

## Nothing

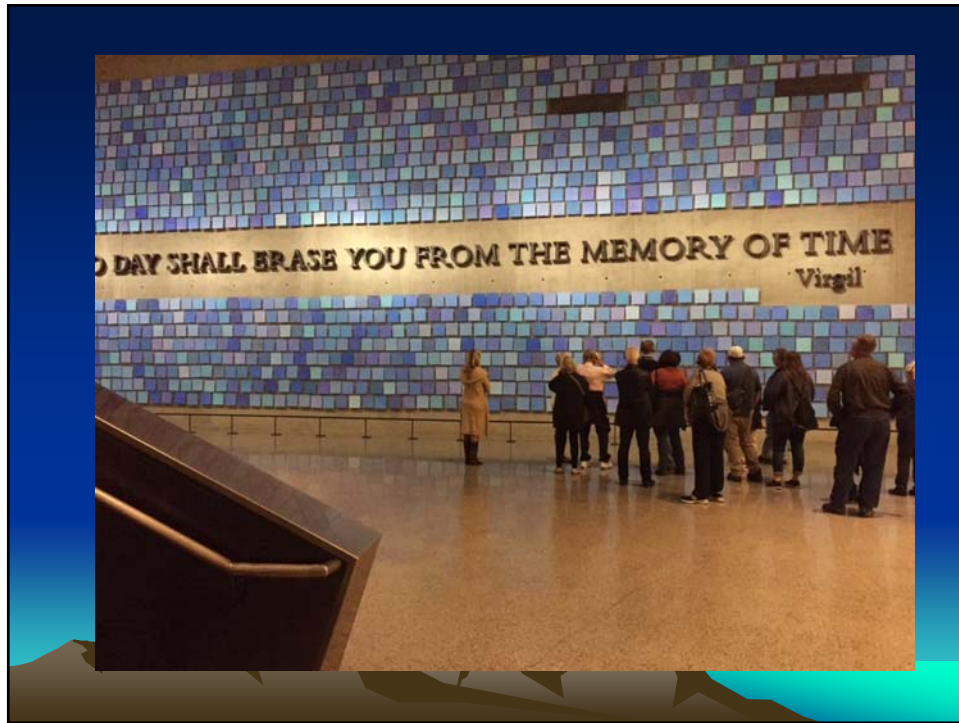
### Challenges to Clinicians, Helpers and Society

- It is impossible to avoid identifying with victim
  - Inhuman trauma
  - Overwhelmed victim
  - Sensitization to trauma by media coverage
- Complex goals
  - Safety and protection
  - Promote competence and independence
  - Confront negative attachments
  - Foster positive attachments
  - Empathize with emotions
  - Support strengths
  - Avoid victimhood
- You cannot provide comprehensive care by yourself

## Conclusions

- Traumatic events are common
- PTSD is often overlooked or untreated
- Past trauma predisposes to future PTSD
- Treatments improve dimensions of PTSD
- Immediate exposure can reduce symptoms
- Living in the past can increase symptoms
- Anything that promotes mastery can help PTSD





OCD

## Pathophysiology

- Hyperactivity of right caudate
  - Decreased by SSRIs and behavior therapy
- Increased frontostriatal connectivity
  - Nucleus accumbens to lateral and medial prefrontal cortex
  - Overrides environmental-responsive systems with internally generated drives
  - DBS decreases hyperactive connectivity

M Figue et al: Nature Neuroscience 2013;16:386

## Treatment Efficacy in OCD

- SRIs
  - High doses usually needed
  - 40-50% improvement
  - Improvement abates with medication discontinuation
  - Meta analyses demonstrate greater efficacy of clomipramine than SSRIs
    - Earlier studies suggesting superiority of clomipramine probably biased by lack of alternative treatments
- One study suggested effectiveness of venlafaxine in 39 refractory OCD patients
- Exposure and response prevention with CBT
  - 70% improvement
  - Benefit persists after treatment discontinuation

Hollander 2003

## Augmentation

- Antipsychotic drugs
  - Best for overvalued or delusional obsessions
- Benzodiazepines
  - May be helpful for severe anxiety with behavior therapy
    - State dependent learning could occur
- MAOIs
  - Early studies suggest some efficacy but cannot be combined with SRIs

## Surgical Treatment of OCD

- Subcaudate tractotomy
- Cingulotomy
- Limbic leukotomy
- Capsulotomy
  - Most effective

## Typical Surgical Patient

- Average duration of illness: 24 years
- Mean number of Axis I diagnoses: 3
- Average number of medication trials: 24
- Percent having had ECT:  $\frac{3}{4}$  (mean number of treatments 15)
- Preoperative GAF score: 20-45
- Preoperative Y-BOCS score: 35/40

## Ventral Capsulotomy for OCD

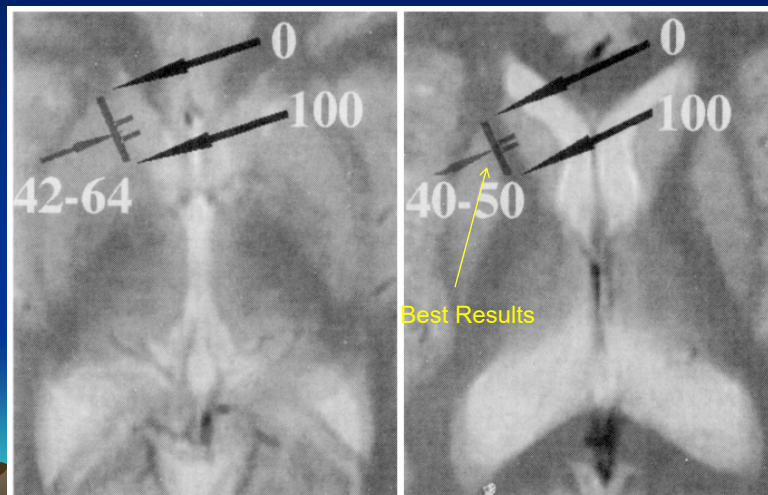
- 16 patients with refractory OCD randomized to real or sham bilateral gamma knife lesion in ventral internal capsule
- After one year, 4 sham surgery patients received open capsulotomy
- Overall response rate (35% improvement) 58% with active surgery over 4 years
- Response rate to sham surgery = 0

## Capsulotomy for OCD

- Increased right caudate rCBF in prefrontal cortex
- Interruption of pathways in internal capsule between frontal lobe and thalamus
- Thermal lesion or gamma knife
- Right sided anterior lesion seems associated with best response
  - Extending lesion in case of nonresponse not helpful
- Several hundred patients with very refractory chronic OCD studied
  - All treatments tried
  - No controls
- 1/3-2/3 of patients improved

Lippitz BE et al: Neurosurgery 1999;44:452-460; Jenike MA: Br J Psychiatry 1998;173(35S):79-90

## Capsulotomy- Anterior Limb of Internal Capsule



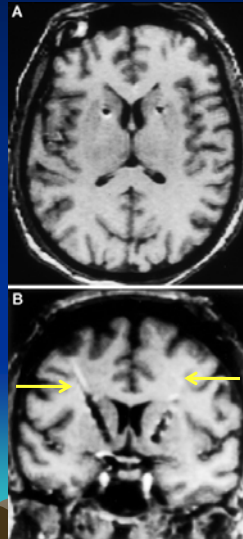
## Deep Brain Stimulation for OCD

- Reversible
- Makes controlled studies possible
- Electrodes placed in anterior limb of internal capsule bilaterally
  - Similar to targets in capsulotomy
- Y-BOCS scores 35% lower with stimulation on versus off in blinded on-off paradigm in about 2/3 of patients followed
  - About 30 patients reported

## Internal Capsule Deep Brain Stimulation for OCD

- Reversible
- Makes controlled studies possible
- Electrodes placed in anterior limb of internal capsule bilaterally
  - Similar to targets in capsulotomy
- Y-BOCS scores 35% lower with stimulation on versus off in blinded on-off paradigm in about 2/3 of patients followed
  - About 20 patients reported

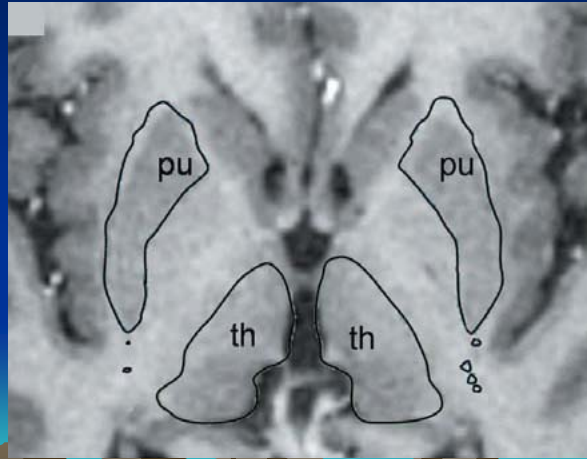
## Electrode in Anterior Limb of Internal Capsule



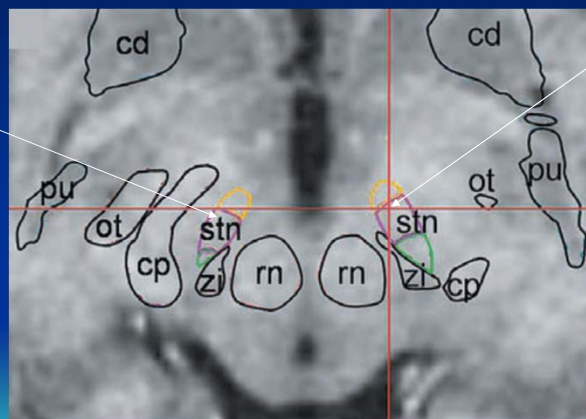
## Subthalamic DBS

- Randomized double-blind multicenter crossover study
- 16 patients with very refractory OCD
  - Mean illness duration 18 years
  - Y-BOCS 27-37
  - CGI 6-7
  - GAF 25-37
  - All but 2 on SRI/SNRI with augmentation
- 3 months treatment, 1 month washout between treatments
- Real-washout-sham or sham-washout-real
- Electrode in anteromedial subthalamic nucleus
  - Border of associative and limbic regions
  - Bilateral stimulation for all but 2 patients

## Target Area Showing Putamen and Thalamus



## Electrode Target



## Electrodes in Associative Area



## Subthalamic DBS

- Y-BOCS change with stimulation
  - Sham: 28-30
  - Active: 30-19
  - Benefit lost with termination of stimulation
- GAF:
  - Sham: 40-41
  - Active: 39-52
- No change in depression, anxiety or disability
- Response (25% improvement)
  - YBOCS: 38% (sham) versus 75% (active)
  - GAF: 38% (sham) versus 100% (active)

## Subthalamic DBS

- Adverse events
  - Intracerebral hemorrhage with paralysis of a finger: 1 patient
  - Infection: 2 patients
  - Transient hypomania: 4 patients
  - Transient anxiety: 2 patients
  - Transient dyskinesias: 1 patient
  - Transient dysphagia: 1 patient

Slide 3

L. Mallet et al. NEJM 359:2121-2134, 2008

## DBS of Ventral Internal Capsule/Ventral Striatum

- 8 years experience of 4 groups
  - Leuven/Antwerp
  - Butler Hospital
  - Cleveland Clinic
  - University of Florida
- 26 patients
- Mean follow-up 31 months
- More posterior targets more effective
- 20 point increase in GAF
  - >60% had clinically significant functional improvement
- HRSD decreased 43%
- Non-OCD anxiety scores decreased by 59%

Slide 1

BD Greenberg et al. Mol Psychiatry 2008;55:1-10

## DBS of Ventral Internal Capsule/Ventral Striatum

- Complications
  - 2 intracerebral bleeds
    - Resolved spontaneously
  - 1 patient had a single seizure
  - One superficial wound infection
  - Two breaks in leads/wires
  - 3 patients had increased depression
  - Slight hypomania in 3
- No change in cognition

Slide 2

BD Greenberg et al. Mol Psychiatry 2008;55:1-16

## DBS of Nucleus Accumbens

- 16 patients with refractory OCD
  - Duration of illness 8-48 years
  - 7-13 medication trials
  - Up to 8 CBT trials
- Bilateral DBS in nucleus accumbens
- 8 month open label treatment
- 2 weeks of double-blind random assignment to real or sham DBS followed by 2 weeks of opposite condition
- One year of open maintenance DBS

Slide 1

D Denys et al. Arch Gen Psychiatry 2010;67:1061-1068

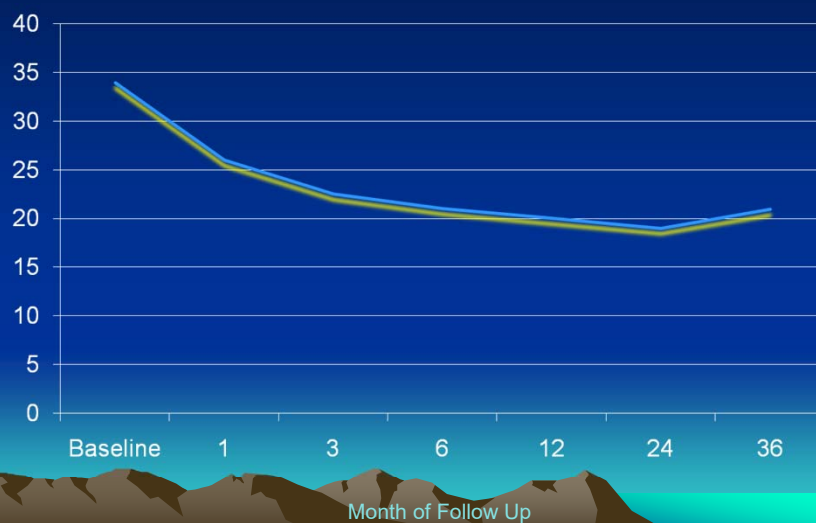
## DBS of Nucleus Accumbens

- YBOC scores decreased overall by 52% over 21 month study
  - Half of patients were responders ( $\geq 35\%$  decrease)
    - Mean decrease in YBOC in this group = 71%
- Worst response in patients with hoarding, perfectionism, symmetry
- Anxiety and depression decreased in responders and nonresponders
- Interrupting limbic or striatal circuits may be preferable for different OCD subtypes

Slide 2

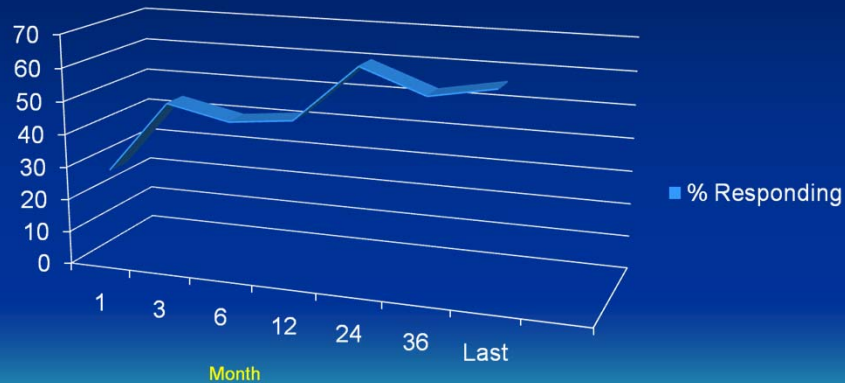
D Denys et al: Arch Gen Psychiatry 2010;67:1081-1088

## YBOCS Severity Changes with DBS



BD Greenberg et al: Mol Psychiatry 2008;55:1-10

## Percent Responding to DBS (35% Decrease in YBOCS)



BD Greenberg et al. Mol Psychiatry 2008;55:1-16

## Cognitive Therapy of Childhood OCD

- CBT is initial treatment of choice
  - Exposure + response prevention
  - Cognitive therapy of expectations/beliefs
- Validated in younger patients
- 70% improvement
- Improvement persists after CBT discontinuation
- Cognitive therapy without behavioral component may be helpful for some children and adolescents
- Poor response to CBT predicted by
  - Low insight
  - Family accommodation
  - Comorbidity
  - Cognitive deficits

ME Franklin et al in Evidence based psychotherapies for children and adolescents. New York, Guilford, pp 80-92; TI Williams et al. Eur Child Adolesc Psychiatry 2011

## Pharmacotherapy of Childhood OCD

- Sertraline, fluoxetine and fluvoxamine approved in U.S. for pediatric OCD
- SSRIs are first-line pharmacotherapy
- Clomipramine reduced OCD symptoms by 50% over one year
  - Only one study of this medication in pediatric OCD
  - Second-line treatment because of adverse effects and need for cardiac monitoring
- Two long-term fluoxetine trials found no superiority over placebo for relapse prevention
- High rate of discontinuation of long-term SSRI treatment

## Conclusions

- Benzodiazepines still the most effective anxiolytics
  - Risks of psychomotor impairment
- Hypnotics are most appropriate for acute, stress related insomnia
- In secondary insomnia, the primary disorder should be treated adequately before long-term hypnotic treatment is determined to be necessary
- DTC advertising and clinician symposia and reviews overestimate applications of sleeping pills
- Suvorexant studied in higher than approved doses in nonpsychiatric individuals
- Nonpharmacological interventions almost always beat medications in head-to-head comparisons in acute, chronic, primary and secondary insomnia

# Conclusions

- Many clinicians are unfamiliar with structured therapies for insomnia
- Many patients confuse treatment of insomnia with living a better life
- A demand for a sleeping pill is not the same thing as a clinical indication
- Non-benzodiazepine medications act on the benzodiazepine receptor
- Melatonin receptor agonists act on melatonin receptors; so does melatonin
- Single session debriefing is not effective for PTSD
  - CBT related therapies are effective
- Medications treat dimensions of PTSD, not the entire disorder
- Outcomes in PTSD remain incomplete
  - Especially combat PTSD
- Behavior therapy beats medications for OCD but more difficult to administer
  - Combinations may not be > behavior therapy alone
- Neurosurgical approaches appear promising, especially DBS

Slide 2